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0νββ研讨会 中山大学,珠海 2021年5月22日



# Outline

- $0\nu\beta\beta$  decay
- Liquid Xenon TPC technology
- EXO-200, prototype demonstration of nEXO
- nEXO design
- R&D and IHEP efforts
- Conclusion

### Neutrino oscillation



# The Nobel Prize in Physics 2015





Photo: A. Mahmoud Takaaki Kajita Prize share: 1/2 Photo: A. Mahmoud Arthur B. McDonald Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"* 



# Neutrino mass generation mechanism

- Neutrino oscillation experiments demonstrate neutrinos have non-zero mass
- Neutrino mass is significantly smaller than other fermions
- Majorana nature of neutrinos allows a natural way to explain the small neutrino mass by see-saw mechanism



The search for  $0\nu\beta\beta$  is the most sensitive probe of Majorana nature of neutrinos.





### Double beta decay

- Double beta decay is a second order process
- Only observable if first order beta decay is energetically forbidden



#### Candidate with Q>2 MeV

Candidate	Q (MeV)	Abund. (%)
<sup>48</sup> Ca→ <sup>48</sup> Ti	4.271	0.187
$^{76}$ Ge $\rightarrow$ $^{76}$ Se	2.040	7.8
<sup>82</sup> Se→ <sup>82</sup> Kr	2.995	9.2
<sup>96</sup> Zr→ <sup>96</sup> Mo	3.350	2.8
<sup>100</sup> Mo→ <sup>100</sup> Ru	3.034	9.6
<sup>110</sup> Pd→ <sup>110</sup> Cd	2.013	11.8
<sup>116</sup> Cd→ <sup>116</sup> Sn	2.802	7.5
<sup>124</sup> Sn→ <sup>124</sup> Te	2.228	5.64
<sup>130</sup> Te→ <sup>130</sup> Xe	2.533	34.5
<sup>136</sup> Xe→ <sup>136</sup> Ba	2.458	8.9
<sup>150</sup> Nd→ <sup>150</sup> Sm	3.367	5.6

# Neutrinoless double beta decay ( $0\nu\beta\beta$ )





#### $2 \nu \beta \beta$ decay

Conventional process

 $0\nu\beta\beta$  has huge physics implications:

- Majorana neutrino
- Lepton number violation
- Absolute neutrino mass scale

- $2\nu \text{ VS } 0\nu$  spectrum: continuum vs peak
- Good energy resolution required to separate  $0\nu$  from  $2\nu$

### **Experimental sensitivity**

$$t_{1/2} \sim \sqrt{\frac{MT}{\mathbf{B} \times \Delta E}}$$

- large detector mass
- low background level
  - low radioactivity detector
  - powerful background rejection
- high energy resolution



# Searching for $0\nu\beta\beta$ in <sup>136</sup>Xe, a phased approach

#### EXO-200:

- EXO-200 first 100-kg class ββ experiment
- Discovery of  $2\nu\beta\beta$  in Xe-136
- 200 kg liquid-Xe TPC with ~80% Xe-136
- Located at the WIPP mine in NM, USA
- Decommissioned in Dec. 2018
- End-of-run calibration campaign data will inform the detailed design of nEXO



https://www-project.slac.stanford.edu/exo/

nEXO:

- Next-generation 5-tonne liquid Xe TPC
- Enriched in Xe-136 at ~90%
- Designed to go to beyond ~10<sup>28</sup> years.
- SNOLAB cryopit preferred location by collaboration
- Decision on funding of nEXO anticipated this summer!



https://nexo.llnl.gov/



# **Time Projection Chamber**

- Energy deposit in liquid xenon induced two types of signals
  - Xe atoms are ionized → electrons drift to the anode and being collected
  - Xe atoms are excited → de-excitation gives VUV photons





# Energy

- Using anti-correlation between charge and scintillation response
  - "Rotated" energy provides optimal resolution in the energy of interest



#### Scintillation vs. ionization, <sup>228</sup>Th calibration:



#### Reconstructed energy, <sup>228</sup>Th calibration:

# 3D vertex and SS/MS classification

- X/Y (U/V) position determined by the signals in cross wire planes with 9 mm pitch
- Z position → time delay between light and charge signals
- ββ mostly deposits energy at single location (SS)
- *γ* backgrounds deposits at multiple locations (MS)
- SS/MS classification is very powerful in background rejection





# Background Suppression: Event topology SS

- Additional discrimination in SS using *spatial distribution* and *cluster size*
- Entering γ-rays rate is exponentially reduced by LXe selfshielding, provides independent measurement of γbackgrounds
  - standoff-distance

- Size of individual cluster estimated from:
  - pulse rise time (longitudinal direction)
  - number of wires with collection signal (transverse)



#### LXe self-shielding:

# Background Suppression: Event topology MS

- $0\nu\beta\beta$  in MS arising from small energy deposits due to bremsstrahlung, while  $\gamma$  Compton scatters
- Distinct features in number of energy deposits, energy distribution and spatial spread among deposits
- High background rejection than in SS to compensate the fact that MS is dominated by backgrounds



# All in DNN

- Deep neural network (DNN) based  $0\nu\beta\beta$  discriminator
- DNN trained on images built from U-wire waveforms



- Signal/background identification efficiency correlates with the true event size based on truth information in simulation
- Indicates the network can pick up correct features on the waveform to reconstruct event, (find wire signals, cluster signals into energy deposits), thus to discriminate signal and background



# Data/MC agreement for DNN

- Data/MC agreement validated with different data
  - $\gamma$ : Ra-226, Th-228, Co-60 calibration sources
  - $\beta$ :  $2\nu\beta\beta$  data
- Showed consistent and reasonable agreement
- Any differences in data/MC are taken into account as systematic uncertainties on normalization of backgrounds within  $Q_{\beta\beta} \pm 2\sigma$



### Multi-dimensional fit



### Results

No statistical significant signal observed

Phase I+II: 234.1 kg·yr <sup>136</sup>Xe exposure Limit  $T_{1/2}^{0\nu\beta\beta} > 3.5 \times 10^{25}$  yr (90% C.L.)  $\langle m_{\beta\beta} \rangle < (93 - 286) \text{ meV}$ Sensitivity 5.0x10<sup>25</sup> yr

PHYSICAL REVIEW LETTERS 123, 161802 (2019)

Editors' Suggestion

#### Search for Neutrinoless Double- $\beta$ Decay with the Complete EXO-200 Dataset

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(EXO-200 Collaboration)

Background contribution to  ${
m Q}\pm 2\sigma$ 

(counts)	$^{238}\mathrm{U}$	$^{232}\mathrm{Th}$	$^{137}\mathrm{Xe}$	Total	Data
Phase I	12.6	10.0	8.7	$32.3 \pm 2.3$	39
Phase II	12.0	8.2	9.3	$30.9 \pm 2.4$	26



2012: Phys.Rev.Lett. 109 (2012) 032505 2014: Nature 510 (2014) 229-234 2018: Phys. Rev. Lett. 120, 072701 (2018) 2019: Phys.Rev.Lett. 123 (2019) no.16, 161802 <sup>18</sup>

### Neutrino mass limits





- Next generation tonne-scale experiments approaching bottom of IH or cover the entire IH
- Planned successor of EXO-200 with 5-tonne liquid xenon enriched in Xe136  $_{10^{-1}}$
- ~10<sup>28</sup> yr sensitivity to 0vbb half-life, cover the entire inverted hierarchy



### From EXO-200 to nEXO

LXe mass (kg)	Diameter or length (cm)
5000	130
150	40







### Artist view of nEXO in SNOLab cryopit



# nEXO TPC baseline design



#### Charge tile anode for ionization charge collection



3000 3500

E field

# **R&D** highlights at IHEP



#### nEXO: Cu中U含量检出限达到0.18 ppt JUNO: PPO放射性控制,精度可到0.2~0.4 ppt

# Charge tile

- Developed by IHEP and IME
- Charge will be collected on arrays of strips fabricated onto low background dielectric wafers (baseline is silica)
  - Self-supporting/no tension
  - Built-on electronics (on back)
  - Far fewer cables
  - Ultimately more reliable, lower noise, lower activity







Max metallization cover with min capacitance



# Charge readout ASIC at IHEP



#### **IHEP ASIC readout design for nEXO**

- Analog serial readout ASIC
- 32 channels per chip
- Low background: no extra decoupling capacitors on the board



# IHEP LXe Test Setup for Charge Tile & ASIC Readout



- Major upgrades in both charge and light readout ongoing in the past several month
- Test charge tile and understand the signal shape
- Characterize ASIC performance in LXe

# Silicon photomultiplier (SiPM) for light readout







- Parallel connected arrays of SPADs operated in Geiger mode
- Passive quenching resistor stops avalanche
- "Binary" output from each pixel
- Final output is the summation of all fired pixels

#### Pros

Ro

- High gain (~1e6)
- Low radioactivity
- Immune to magnetic field
- compact

#### Cons

- High dark rate (drastically reduced at cryogenic temperature though)
- After pulse and cross talk
- Large capacitance per unit area

### SiPM performance characterization



- **1. Detector chamber**
- 2. Bandwidth filter
- 3. Reflector
- 4. cooling gas tube
- 5. LED
- 6. Xe scintillation light source



- Work closely with FBK to develop SiPM satisfying nEXO specifications
- Already found one candidate satisfying the minimum requirement

### SiPM R&D

#### JINST 13 (2018) 09, T09006



#### IEEE Trans.Nucl.Sci. 67 (2020) 12, 2501-2510



# Material assay and background model

Material	Supplier	Method	K	Th	U	<sup>60</sup> Co
			[ppb]	[ppt]	U [ppt]	$[\mu \mathbf{Bq}/\mathbf{kg}]$
Copper	Aurubis	ICPMS/Ge/GDMS	<0.7	$0.13{\pm}0.06$	$0.26 {\pm} 0.01$	<3.2
Sapphire	GTAT	NAA	$9.5 \pm 2.0$	$6.0{\pm}1.0$	$<\!\!8.9$	-
Quartz	Heraeus	NAA	$0.55{\pm}0.04$	< 0.23	<1.5	-
SiPM	FBK	ICPMS/NAA	< 8.7	$0.45 {\pm} 0.12$	$0.86 {\pm} 0.05$	-
Epoxy*	Epoxies Etc.	NAA	$<\!\!20$	$<\!23$	<44	-
Kapton*	Nippon Steel Cables	ICPMS	-	$<2.3 \text{ pg/cm}^2$	$4.7 \pm 0.7 \text{ pg/cm}^2$	-
HFE*	3M HFE-7000	NAA	$<\!0.6$	< 0.015	< 0.015	-
Carbon Fiber	Mitsubishi Grafil	Ge	$550\pm51$	$58 \pm 19$	$19\pm8$	-
ASICs	BNL	ICPMS	-	$25.7 {\pm} 0.7$	$13.2 \pm 0.1$	
Titanium	TIMET	Ge	<3.3	$57\pm5$	<7.3	-
Water	SNOLAB	Assumed	< 1000	<1	<1	-

- Extensive radio-assay and background control program
- Comprehensive background modeling for sensitivity evaluation

Component	Nuclides	Material	Mass or
	Simulated		Surface Area
Outer Cryostat	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Carbon Fiber	1774 kg
Inner Cryostat	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Carbon Fiber	338 kg
Inner Cryostat Liner	<sup>238</sup> U, <sup>232</sup> Th	Titanium	161.4 kg
HFE	<sup>238</sup> U, <sup>232</sup> Th	HFE-7000	32700  kg
TPC Vessel	<sup>238</sup> U, <sup>232</sup> Th	Copper	553.4 kg
Cathode	<sup>238</sup> U, <sup>232</sup> Th	Copper	13.02 kg
Field Rings (FR)	<sup>238</sup> U, <sup>232</sup> Th	Copper	73.2 kg
FR Support Leg	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Sapphire	0.94 kg
FR Support Spacer	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Sapphire	2.21 kg
SiPM	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	SiPM	4.69 kg
SiPM Support	<sup>238</sup> U, <sup>232</sup> Th	Copper	136.4 kg
SiPM Module Backing	<sup>238</sup> U, <sup>232</sup> Th	Quartz	3.2 kg
SiPM Electronics	<sup>238</sup> U, <sup>232</sup> Th	ASICs	2.04 kg
SiPM Glue	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Epoxy	0.12 kg
SiPM Cables	<sup>238</sup> U, <sup>232</sup> Th	Kapton	$1\times 10^4~{\rm cm}^2$
Charge Module Cables	<sup>238</sup> U, <sup>232</sup> Th	Kapton	$1  imes 10^4 \ { m cm}^2$
Charge Module Electronics	<sup>238</sup> U, <sup>232</sup> Th	ASICs	1.0 kg
Charge Module Glue	<sup>238</sup> U, <sup>232</sup> Th, <sup>40</sup> K	Epoxy	0.35 kg
Charge Module Support	<sup>238</sup> U, <sup>232</sup> Th	Copper	11.7 kg
Charge Module Backing	<sup>238</sup> U, <sup>232</sup> Th	Quartz	0.94 kg
TPC LXe Volume	$^{137} \mathrm{Xe},~^{222} \mathrm{Rn},~2\nu\beta\beta,~0\nu\beta\beta$	Xenon	4038  kg
Outer LXe Volume	<sup>137</sup> Xe, <sup>222</sup> Rn, $2\nu\beta\beta$ , $0\nu\beta\beta$	Xenon	1071 kg



### Multi-dimensional Fit vs Counting Experiment



Multi-variate analysis maximize the sensitivity to 0vbb than simple counting experiment.

### Ultimate sensitivity





\* 20-30% additional improvement with machine learning discriminator JINST 14 (2019) 09, P09020
\* New sensitivity evaluation with improved radio-assay data, detector design and analysis will be released soon!

# nEXO publications (since 2018)

- Reflectivity of VUV-sensitive Silicon Photomultipliers in Liquid Xenon, M. Wagenpfeil, et al, arXiv:2104.07997 (2021)
- Event Reconstruction in a Liquid Xenon Time Projection Chamber with an Optically-Open Field Cage, T. Stiegler, et al (nEXO), NIMA 1000, 165239 (2021)
- Reflectance of Silicon Photomultipliers at Vacuum Ultraviolet Wavelengths, P. Lv, et al (nEXO) IEEE Trans. Nucl. Sci. 67, 2501 (2020)
- Reflectivity and PDE of VUV4 Hamamatsu SiPMs in liquid xenon, P. Nakarim, et al (nEXO), JINST 15, P01019 (2020)
- Measurements of electron transport in liquid and gas Xenon using a laser-driven photocathode, O. Njoya, et al., (nEXO), NIM A 972, 163965 (2020)
- Characterization of the Hamamatsu VUV4 MPPCs for nEXO, G. Gallina, et al. (nEXO), NIMA 940, 371 (2019)
- Simulation of charge readout with segmented tiles in nEXO, Z. Li, et al., (nEXO), JINST 14, P09020 (2019)
- Study of Silicon Photomultiplier Performance in External Electric Fields, X.L. Sun, et al., (nEXO), JINST 13, T09006 (2018) (arXiv:1807.03007) (nEXO Collaboration)
- VUV-sensitive Silicon Photomultipliers for Xenon Scintillation Light Detection in nEXO, IEEE Transactions on Nuclear Science 1 (2018) (arXiv:1806.02220)(nEXO Collaboration)
- **nEXO Pre-Conceptual Design Report,** arXiv:1805.11142v2 (nEXO Collaboration)
- Characterization of an Ionization Readout Tile for nEXO, JINST 13, P01006 (2018) (arXiv: arXiv:1710.05109v1)(nEXO Collaboration)
- Sensitivity and Discovery Potential of nEXO to Neutrinoless Double Beta Decay, Physical Review C 97, 065503 (2018) (arXiv: arXiv:1710.05075v1)(nEXO Collaboration)
- Imaging individual Ba atoms in solid xenon for barium tagging in nEXO, Nature 569, 203 (2019) (arXiv:1806.10694)(nEXO Collaboration)





# Summary

- EXO-200 demonstrated the liquid xenon TPC technology for  $0\nu\beta\beta$  search
- nEXO is a discovery focused 0vββ experiment, designed to reach a sensitivity beyond ~10<sup>28</sup> years, based on extensive R&D work
- US DOE has scheduled a DBD portfolio review in July 2021
- Observation of  $0\nu\beta\beta$  always implies new physics!



### EXO-200 timeline



- Operation concluded in Dec 2018, with 1181.3 days of livetime
- Phase I from Sep 2011 to Feb 2014
  - Precise 2νββ measurement, *Phys. Rev. C* 89, 015502 (2013)
  - Stringent limit for  $0\nu\beta\beta$  search, *Nature* **510**, 229 (2014)
- Phase II operation begins on Jan 31, 2016 with system upgrades
  - First results with Phase II data from upgraded detector, *Phys. Rev. Lett.* 120, 072701 (2018)
  - Final results with all exposure, Phys. Rev. Lett. 123 (2019) no.16, 161802

# EXO-200

- Located at Waste Isolation Pilot Plant (WIPP) in Carlsbad, NM, USA
- 1624 m.w.e. overburden
- LXe vessel surrounded by ~50 Front-end cm HFE-7000 cryofluid, housed in a double-wall cryostat Vacuum
- ~25 cm passive lead shield in all directions
- Plastic scintillator panels for muon veto



### **Resolution improvement**

#### Resolution at the Q value (<sup>228</sup>Th near cathode)



- Front end readout electronics
  - Reduce APD readout excess noise
- Cathode HV increased from -8 kV to -12 kV
- Software De-noising to optimize energy calibration
- De-noising adapted for Phase II as well in new analysis
- Proper Modeling of mixed collection/induction wire signals
- Energy resolution ( $\sigma/E$ ) at  $Q_{\beta\beta}$  value (design goal 1.6%)
  - Phase I: 1.35+-0.09%
  - Phase II: 1.15+-0.02%

# Increasing $0\nu\beta\beta$ detection efficiency

- Another major signal efficiency loss in previous analyses has been improved in addition to the 3D cut
- Event coincidence cut
  - Originally designed to remove time-correlated events, e.g. Bi-Po event, potential muon induced long-lived decay products ...
  - Comprehensive cosmogenic background studies (*JCAP 1604 (2016) no.04, 029*) later found no evidence of contributions from such muon-induced isotopes
  - Reducing time cut window from 1s to 0.1 s is still sufficient for rejecting Bi-Po
- $0\nu\beta\beta$  detection efficiency increases from ~80% to 97.8 $\pm$ 3.0% (96.4 $\pm$ 3.0%) for Phase I (II)



# Light/charge Diagonal cut

- Requires 2D light/charge energy calibration and good understanding of detector
- Light/charge ratio distributions validated by comparison between data/simulation using source and  $2\nu\beta\beta$  data
- Powerful to reject  $\alpha$ , as well as poorly reconstructed  $\beta/\gamma$  with anomalous light/charge ratio



# Time Projection Chamber (TPC)

- Single phase liquid xenon TPC with <sup>enr</sup>Xe (80.6%)
- ~110 kg active volume
- Two back-to-back TPCs with cathode in the middle
- Scintillation light readout by APDs
- Ionization charge detected by two wire grids crossing at 60 degree
  - Collection plane (U-plane)
  - Induction (shielding) plane (V-plane)





# Test stand TPC

- 3.3 cm drift length
  - Operating at up to 1 kV/cm field
- Charge tile anode with
  - 30 X strips + 30 Y strips
- PMT for light detection
  - Low efficiency though
  - Used only for trigger





# Relaxed 3D cut

- Previous analyses require all events having full 3D position
- Partial 3D events are due to small energy deposit having complete collection on U-wire, but usually having no V signals because of higher threshold
- Now require >60% of energy deposits having 3D position, only recovering MS events
- Recovers almost all previously cut  $0\nu\beta\beta$  events (10%) in MS due to small bremsstrahlung deposit
- Average SS fraction is 12% in the energy range  $Q_{\beta\beta} \pm 2\sigma$  for Th-228 source deployed near the cathode



### large area SiPM array Integration R&D



- A larger array with ~200 devices is being build
- New design optimized for light collection efficiency
- x10 increase in number of channels give rise to new technical difficulties
  - Individual DC bias for each channel with constraints in board size and low temperature
- Important to demonstrate 1% energy resolution goal with the nEXO-style charge-tile and SiPM readout
- Important R&D demonstration for DOE down selection!



### Integrate large area SiPM array to the test stand

- Implemented an array of 24 SiPMs (24 cm<sup>2</sup>) to replace the PMT in the test stand for light detection
  - The first close-to-nEXO-style detector
  - Improved light collection efficiency (5-10x)











# Analysis strategy

- Blinded analysis performed
- SS/MS classification
- 3-dimension fit in both SS and MS: E + DNN + standoff distance
  - Energy, event topology and spatial information
  - Make the most use of multi-parameters for background rejection
  - SS, MS relative contributions constrained by SS fraction
- Improvement of ~25% in  $0\nu\beta\beta$  half-life sensitivity compared with using energy spectra + SS/MS alone





- At 4V operation voltage and LXe temperature, dark count rate < 1Hz/mm<sup>2</sup>
- Hamamatsu is better than FBK, achieves ~0.2 Hz/mm<sup>2</sup>