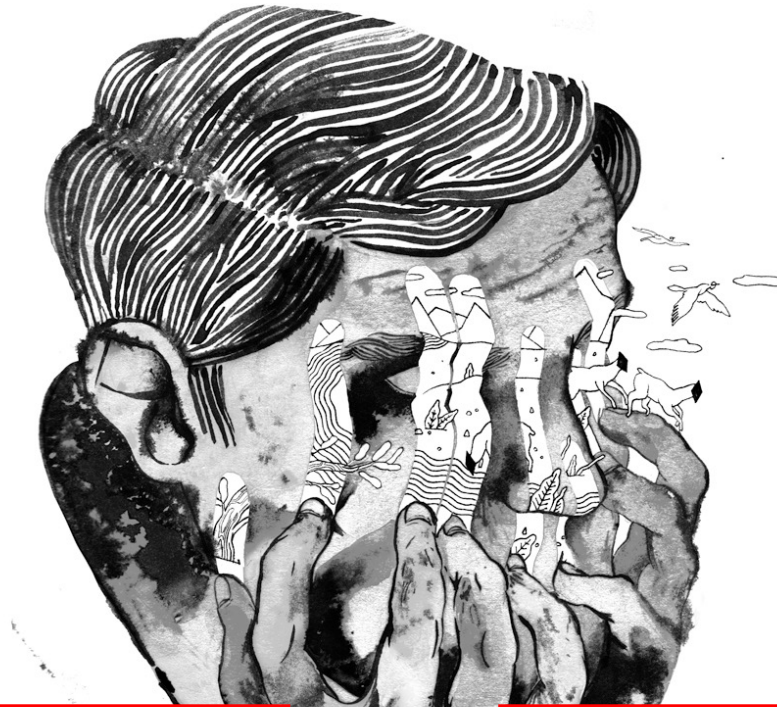


# Majorana $\bar{\nu}$ 's hidden in $0\nu 2\beta$ decays

Zhi-zhong Xing

xingzz@ihep.ac.cn



$$(Z, A) \rightarrow (Z + 2, A) + 2e^-$$



$$(Z, A) \rightarrow (Z + 2, A) + 2\nu_e$$

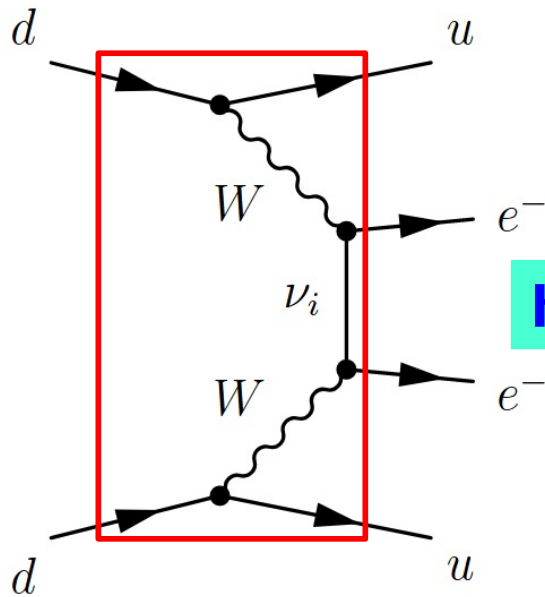
[https://margheritamorotti.com/  
ettore-majorana](https://margheritamorotti.com/ettore-majorana)

无中微子衰变研讨会，中山大学珠海校区，2021.5.19—23

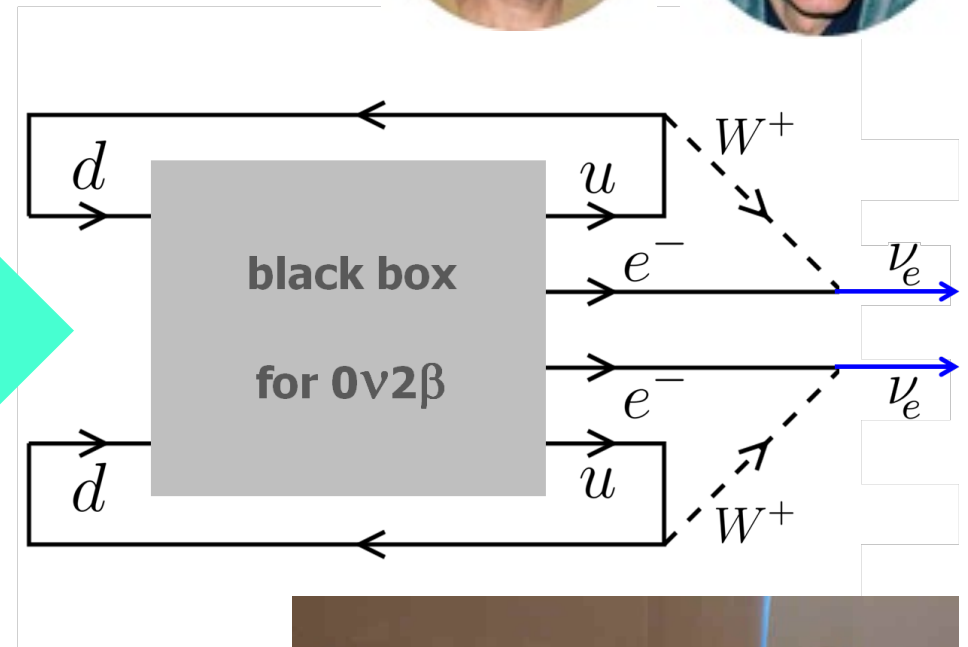
# Two theorems

1

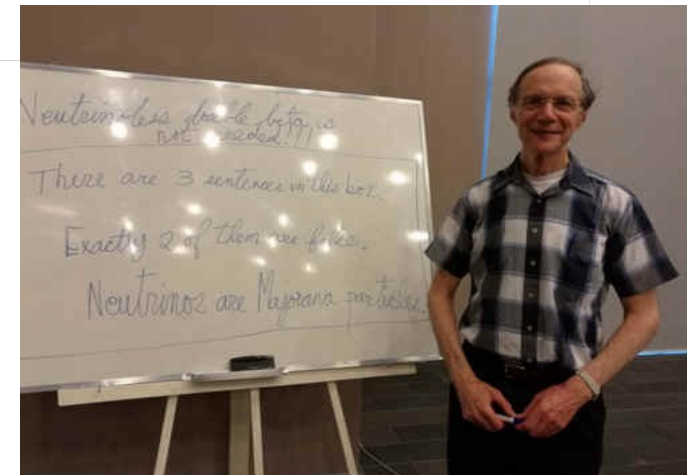
★ **Joseph Schechter** and **Jose Valle** suggested a theorem in **June 1982**: if a  $0\nu 2\beta$  decay happens, there must be an effective **Majorana** mass term. The reverse is also true.



hidden  $\nu$ 's emerge



★ The **Majorana-Dirac confusion theorem** by **Boris Kayser** in **October 1982**: If there're no right-handed currents and the  $\nu$ -masses are very small compared with the experimental energy scale, then it is impossible to tell the difference between **Dirac** and **Majorana**  $\nu$ 's.



# OUTLINE

- A brief history: ideas and facts oscillated
- Salient properties of Majorana neutrinos



Palermo, 26 marzo 1938 - XXI

Care Carroll,

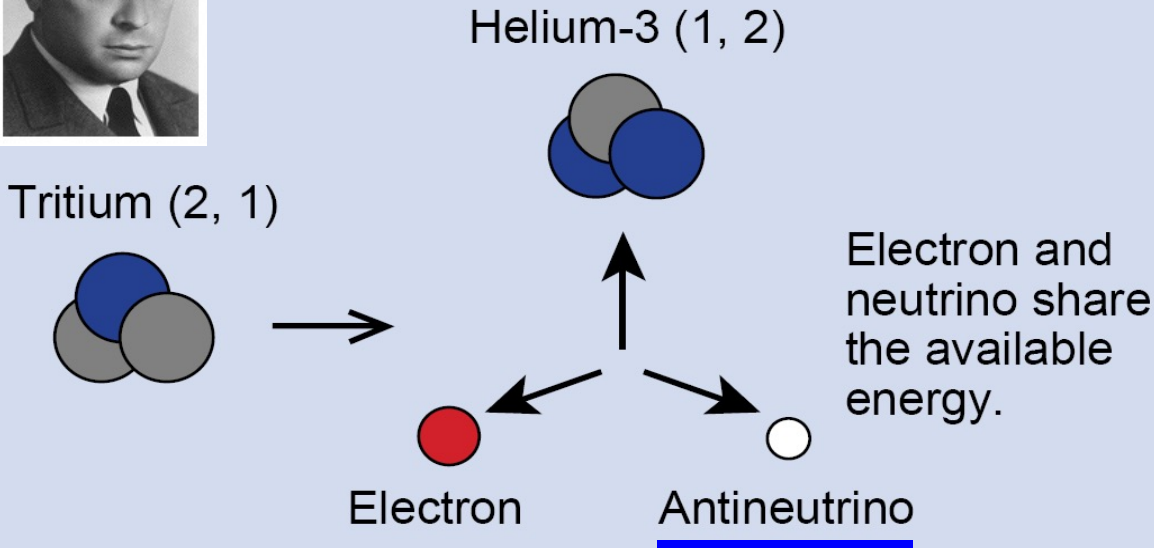
Spero che ti siano arrivati insieme il telegramma e la lettera. Il nome mi ha riflettuto e ritornato davanti all'albergo Bologna, viaggiando per una quindicina di giorni. Ho poi intenzione di ritornare all'insegnamento. Non mi pare che per una ragione o l'altra il caso è differente. Sono a tua disposizione per ulteriori dettagli.

H. Majorana

# Pauli (1930) and Fermi (1933)



## Three-Body Final State

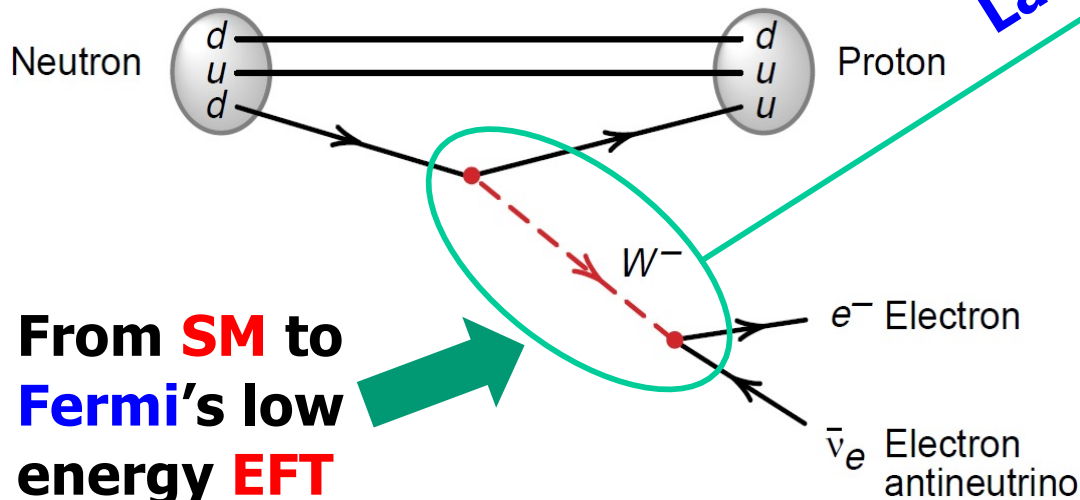
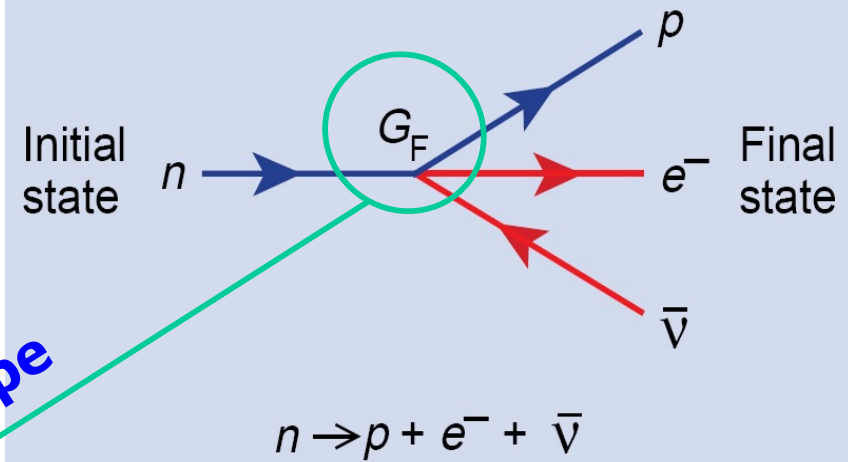


$$(N, Z) \rightarrow (N - 1, Z + 1) + e^- + \bar{\nu}$$



I will be remembered for this paper

## Neutron Beta Decay



Landscape

The **seesaw**-like relation:

$$\frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2} \rightarrow g \simeq 0.65$$

$$M_W \simeq 80.4 \text{ GeV}$$

$$G_F \simeq 1.166 \times 10^{-5} \text{ GeV}^{-2}$$

From **SM** to **Fermi's** low energy **EFT**

# Goeppert-Mayer (1935)

★  $2\nu 2\beta$  decay: some **even-even** nuclei have an opportunity to decay to the 2nd nearest neighbor via **2** simultaneous  $\beta$  decays (equivalent to the  $\beta$  decays of two neutrons).



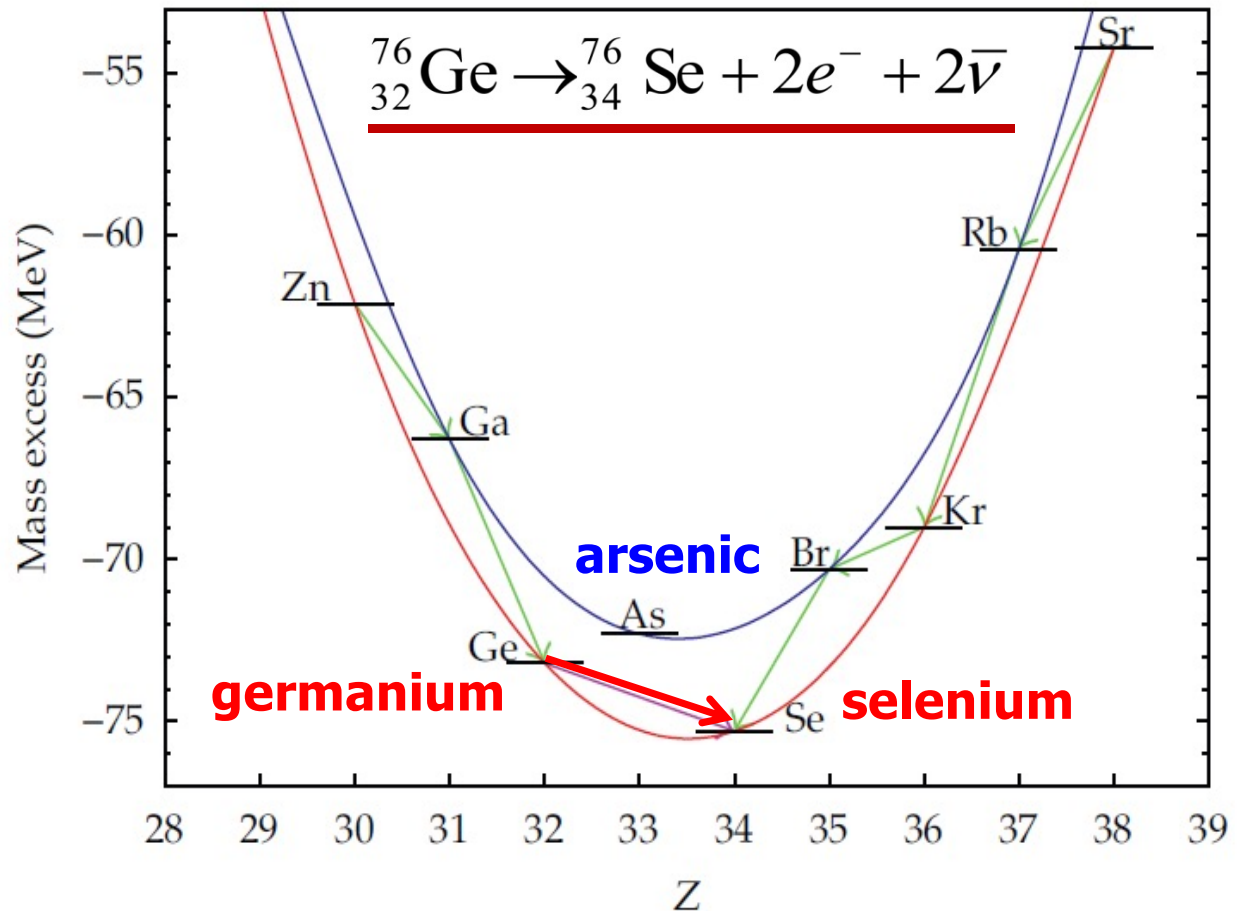
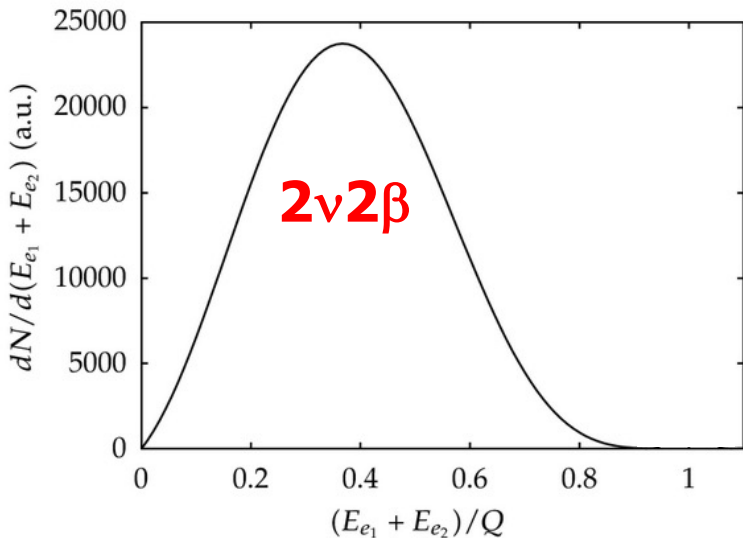
$$(Z, A) \rightarrow (Z + 2, A) + 2e^- + 2\bar{\nu}_e. \quad \text{Maria Goeppert-Mayer}$$

necessary conditions:

$$m(Z, A) > m(Z + 2, A)$$

$$m(Z, A) < m(Z + 1, A)$$

Electron energy spectrum



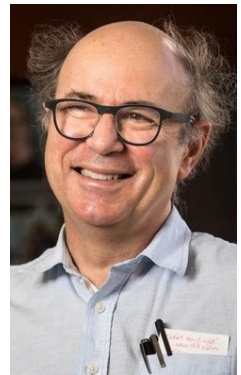
# Majorana (1937)

★ **Ettore Majorana**: theory of the symmetry of electrons and positrons — an idea as mysterious as **Majorana's** personality.

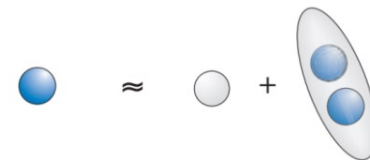
“...there is now no need to assume the existence of antineutron or antineutrinos. The latter particles are indeed introduced in the theory of positive beta-ray emission; the theory, however, can be obviously modified so that the beta-emission, both positive and negative, is always accompanied by the emission of a neutrino.”

## Our judgement today:

- No, antineutron  $\neq$  neutron (100%)
- Ja, antineutrinos = neutrinos (99%?)



★ **Majorana fermions** are a new form of matter.



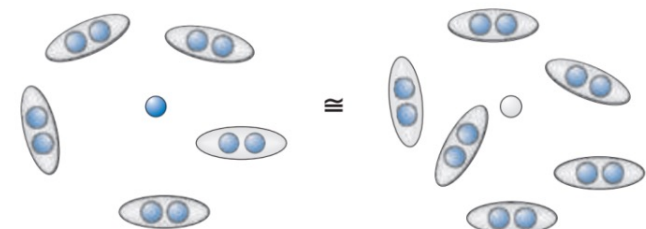
★ **Majorana neutrinos** are truly *New Physics* beyond SM, and have profound implications for the Universe.

“Majorana returns”

— Frank Wilczek

Nature Physics 2009

## Majorana zero mode



# Furry (1939)

★ A  $0\nu 2\beta$  decay may occur if massive  $\nu$ 's have the Majorana nature, as first pointed out by Furry in 1939.

$$T_{1/2}^{0\nu} = (G^{0\nu})^{-1} |M^{0\nu}|^{-2} |\langle m \rangle_{ee}|^{-2}$$



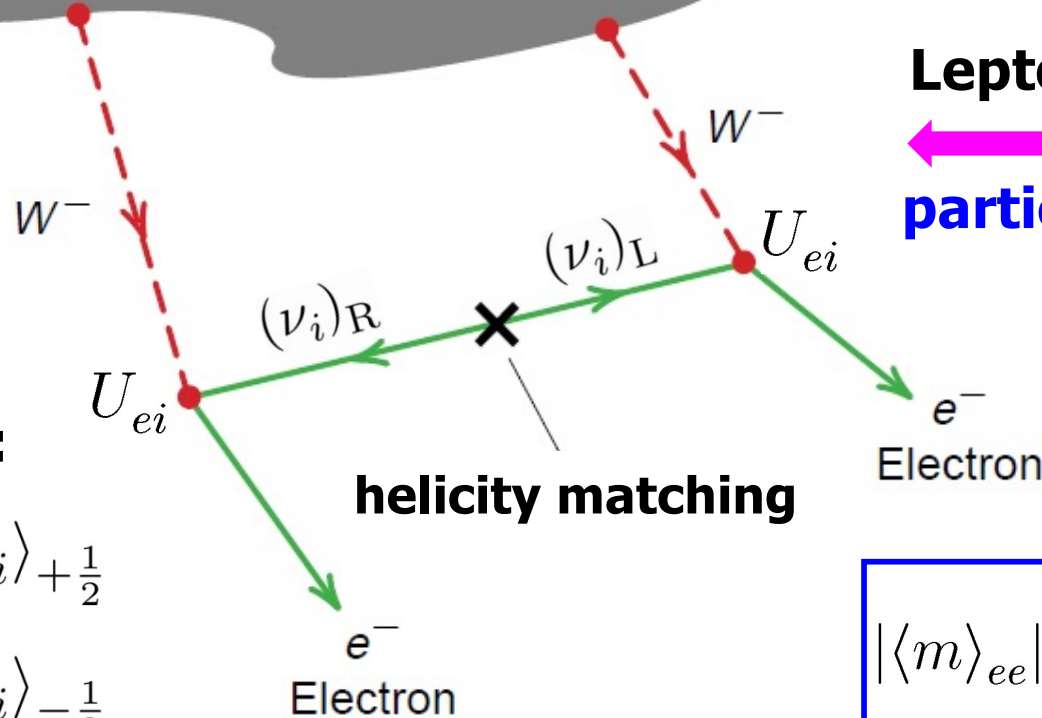
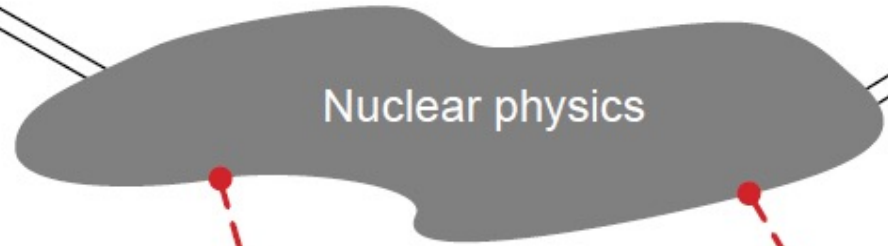
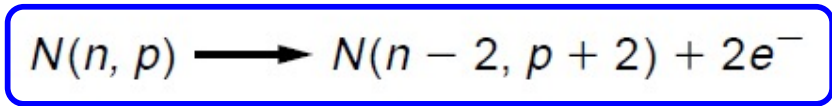
Wendell Furry

Initial state

$$N(n, p)$$

Final state

$$N(n - 2, p + 2)$$



Lepton number violation  
particle physics

Chirality and Helicity:

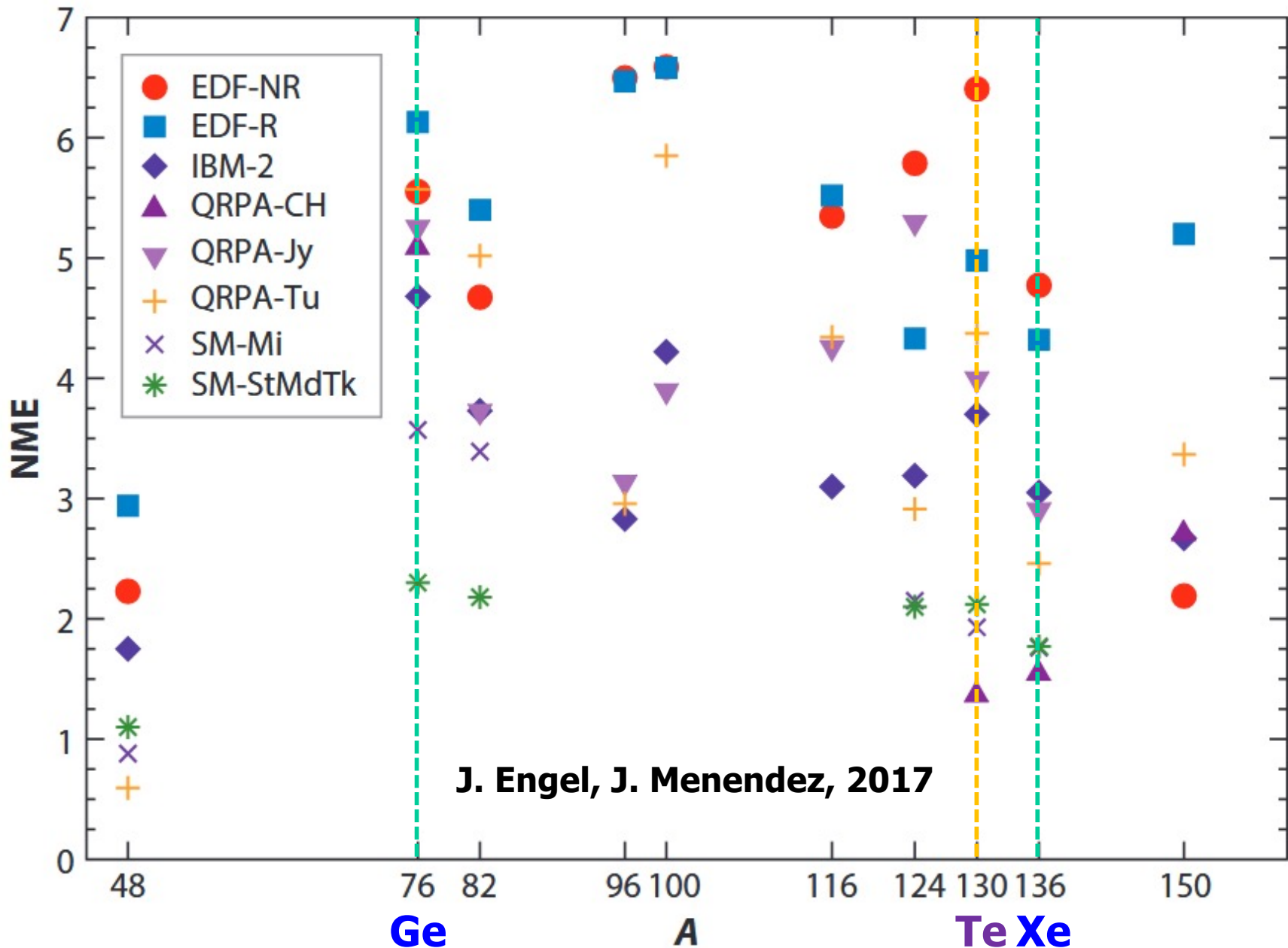
$$|\nu_i\rangle_L \propto |\nu_i\rangle_{-\frac{1}{2}} + \frac{m_i}{E} |\nu_i\rangle_{+\frac{1}{2}}$$

$$|\nu_i\rangle_R \propto |\nu_i\rangle_{+\frac{1}{2}} - \frac{m_i}{E} |\nu_i\rangle_{-\frac{1}{2}}$$

$$|\langle m \rangle_{ee}| = \left| \sum_i m_i U_{ei}^2 \right|$$

# Nuclear matrix elements

★ Big uncertainties associated with nuclear matrix elements (NMEs):





# Half-life lower limits

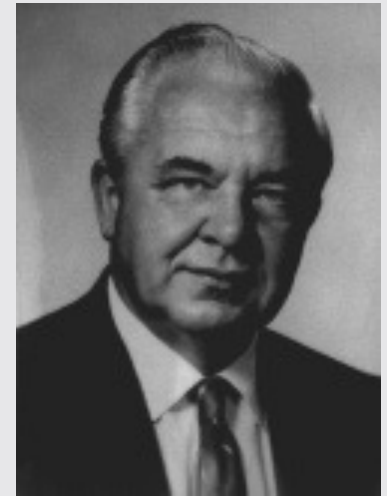
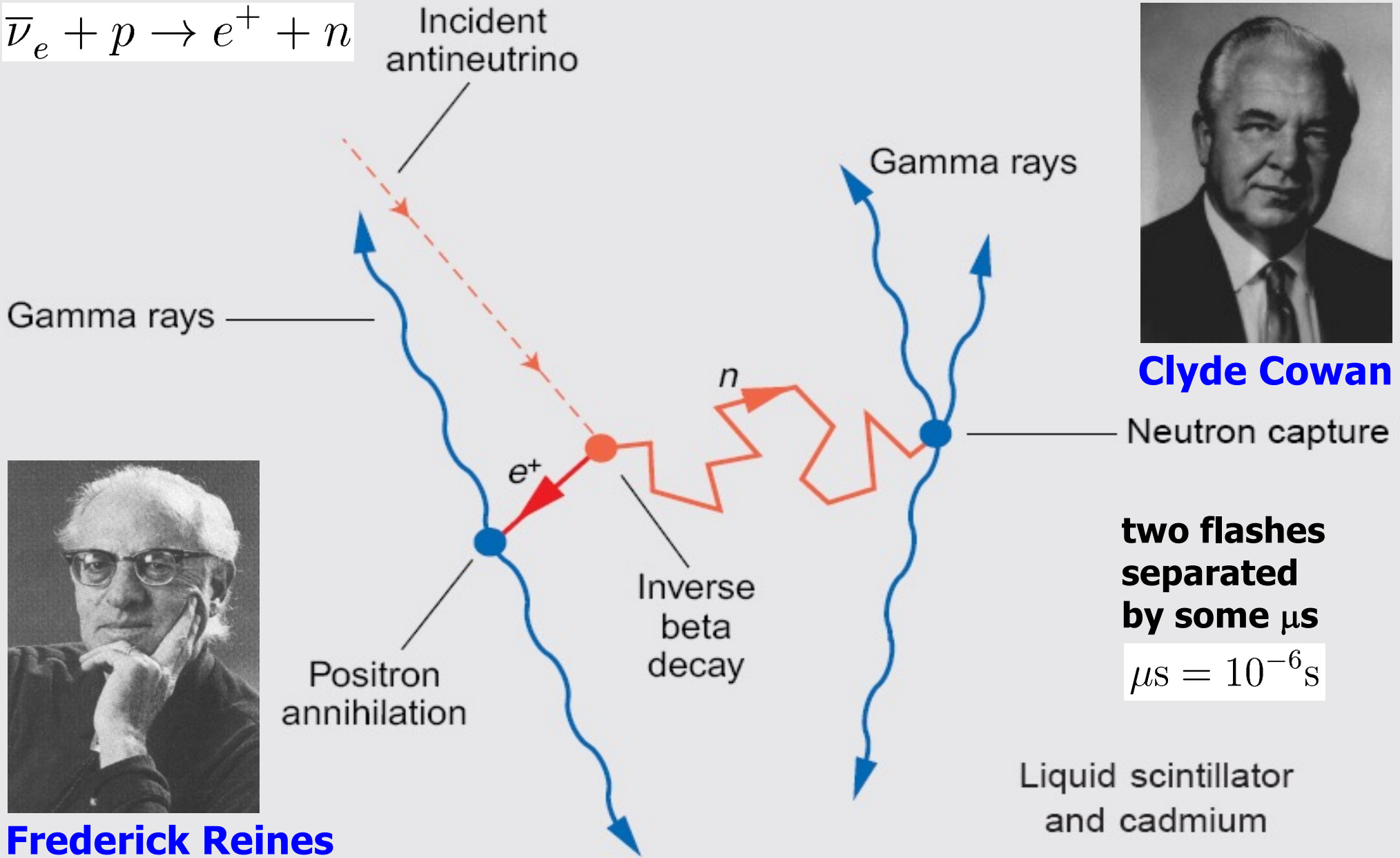
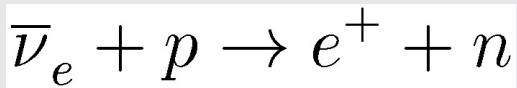
★ Current experimental constraints on the half-life of the  $0\nu 2\beta$  decay.

$$T_{1/2}^{0\nu} = (G |\mathcal{M}|^2 \langle m_{\beta\beta} \rangle^2)^{-1} \simeq 10^{27-28} \left( \frac{0.01 \text{ eV}}{\langle m_{\beta\beta} \rangle} \right)^2 \text{ years}$$

Isotope	$T_{1/2}^{0\nu}$ ( $\times 10^{25}$ years)	$\langle m_{\beta\beta} \rangle$ (eV)	Experiment	Reference
$^{48}\text{Ca}$	$> 5.8 \times 10^{-3}$	$< 3.5-22$	ELEGANT-IV	159
$^{76}\text{Ge}$	$> 8.0$ ★	$< 0.12-0.26$	GERDA	160
<b>GERDA 2020</b>	$T_{1/2} > 1.8 \times 10^{26}$ yr at 90% C.L.		MAJORANA DEMONSTRATOR	161
$^{82}\text{Se}$	$> 3.6 \times 10^{-2}$	$< 0.89-2.43$	NEMO-3	162
$^{96}\text{Zr}$	$> 9.2 \times 10^{-4}$	$< 7.2-19.5$	NEMO-3	163
$^{100}\text{Mo}$	$> 1.1 \times 10^{-1}$	$< 0.33-0.62$	NEMO-3	164
$^{116}\text{Cd}$	$> 2.2 \times 10^{-2}$	$< 1.0-1.7$	Aurora	165
$^{128}\text{Te}$	$> 1.1 \times 10^{-2}$	NE	C. Arnaboldi et al.	166
$^{130}\text{Te}$	$> 1.5$	$< 0.11-0.52$	CUORE	126
$^{136}\text{Xe}$	$> 10.7$ ★	$< 0.061-0.165$	KamLAND-Zen	167
	$> 1.8$	$< 0.15-0.40$	EXO-200	168
$^{150}\text{Nd}$	$> 2.0 \times 10^{-3}$	$< 1.6-5.3$	NEMO-3	169

# Reines and Cowan (1956)

★ **The 1<sup>st</sup> good news:** electron antineutrinos were discovered in 1956.

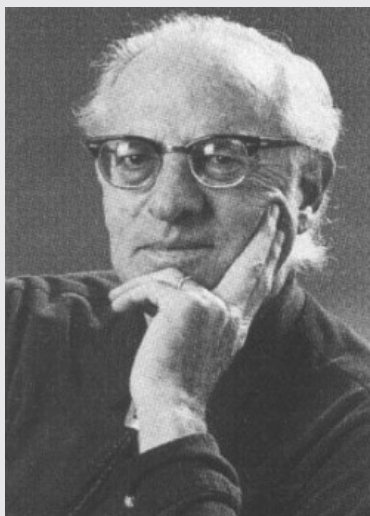


**Clyde Cowan**

Neutron capture

**two flashes separated by some  $\mu\text{s}$**

$$\mu\text{s} = 10^{-6}\text{s}$$



**Frederick Reines**

Liquid scintillator and cadmium

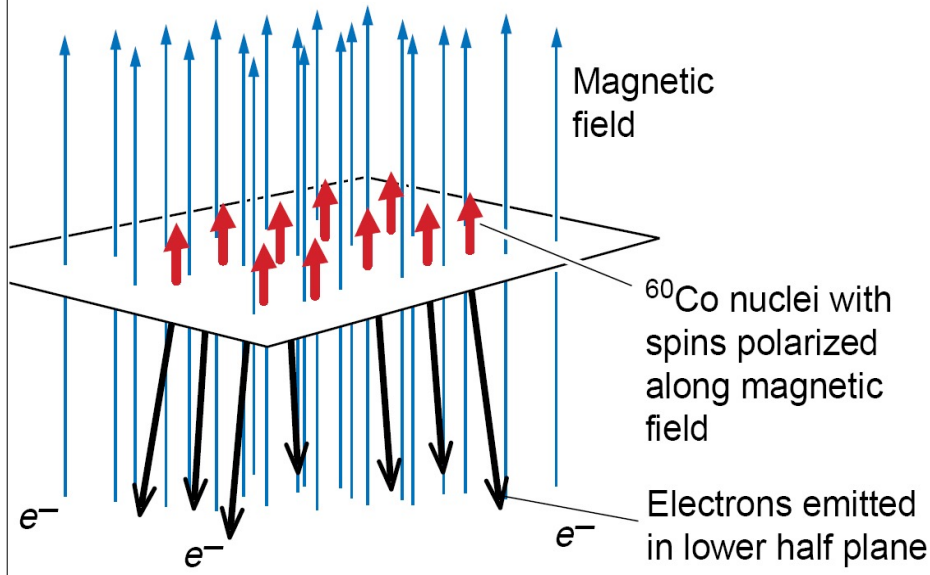
# Wu, Lee and Yang (1957)

10

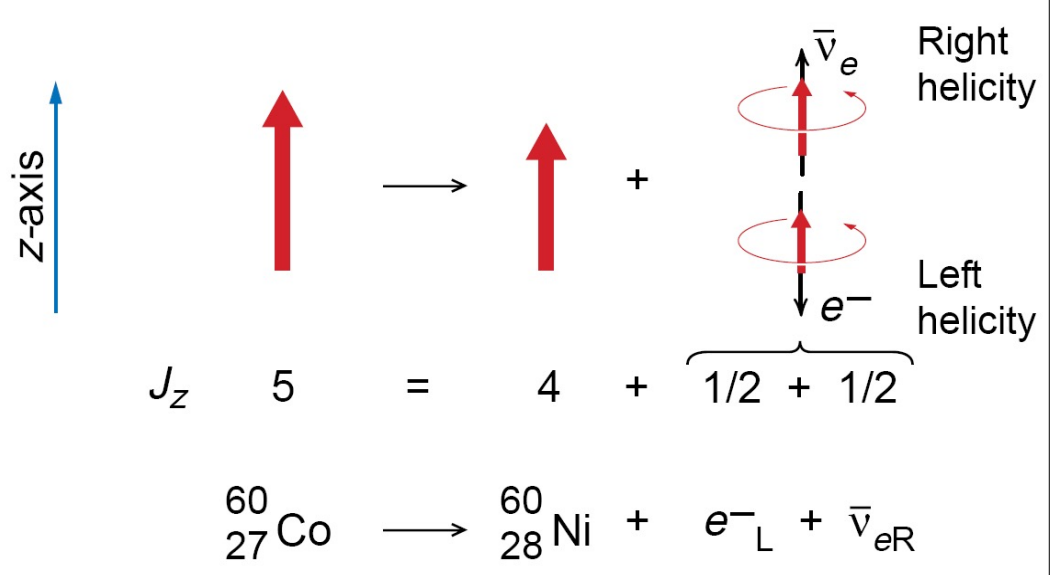
★ **The 1<sup>st</sup> bad news:** neutrinos seemed to have no mass (left-handed). **Chien-shiung Wu *et al*** aligned the spins of Cobalt-60 nuclei along external magnetic field and then measured directions of the emitted electrons. They saw **maximal parity violation**.



(c) Maximum Parity Violation in the Cobalt-60 Experiment



(d) Explanation of Cobalt-60 Experiment



(**Leon Lederman *et al*** observed similar effects in leptonic pion decays).

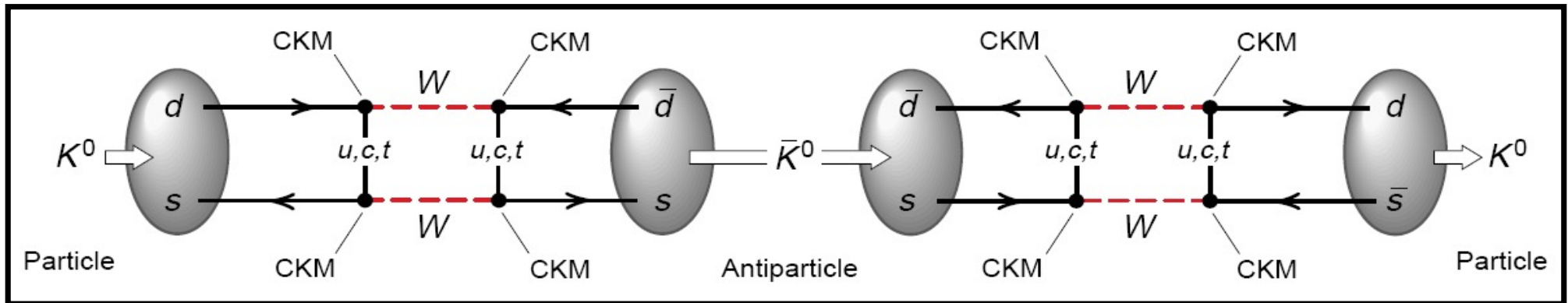
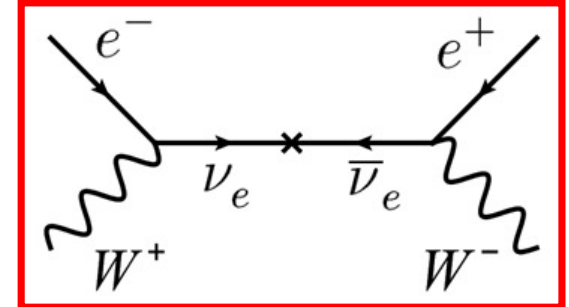
**Tsung-Dao Lee** and **Chen-Ning Yang** proposed the **two-component** theory: neutrinos are left-handed and exactly massless!



# Pontecorvo (1957) and V-A (1958) 11

★ Bruno Pontecorvo's conjecture in 1957:

- The two-component  $\nu$ -theory is wrong.
- Lepton number is violated (Majorana).
- Transition between electron  $\nu$  & anti- $\nu$ .



Murray Gell-mann and Abraham Pais 1955

**Note:** a single leptonic flavor cannot oscillate!

$$P(\nu_e \rightarrow \bar{\nu}_e) = \frac{m_\nu^2}{E^2} |K|^2$$

★ The V-A structure of weak interactions was formulated in 1958, inspired by measurement of maximal parity violation:

- George Sudarshan and Robert Marshak
- Richard Feynman and Murray Gell-Mann



# Goldhaber (1958) and Sakata (1962) 12

★ **The 2<sup>nd</sup> bad news:** a neutrino did have the **negative helicity** and thus should have no mass. A proof of this was first done by **Maurice Goldhaber** et al in 1958.

$${}^{152}_{63}\text{Eu}(0^-) + e^- \rightarrow {}^{152}_{62}\text{Sm}^*(1^-) + \nu_e \quad \lambda \equiv \vec{s} \cdot \vec{p}/|\vec{p}| = -1/2$$



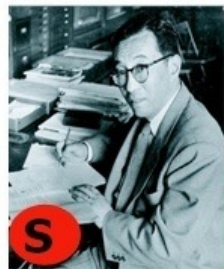
★ **The Nagoya school's conjectures:** neutrinos are massive and mixed.

Progress of Theoretical Physics, Vol. 28, No. 5, November 1962

Remarks on the Unified Model of Elementary Particles

Ziro MAKI, Masami NAKAGAWA and Shoichi SAKATA

*Institute for Theoretical Physics  
Nagoya University, Nagoya*



(Received June 25, 1962)

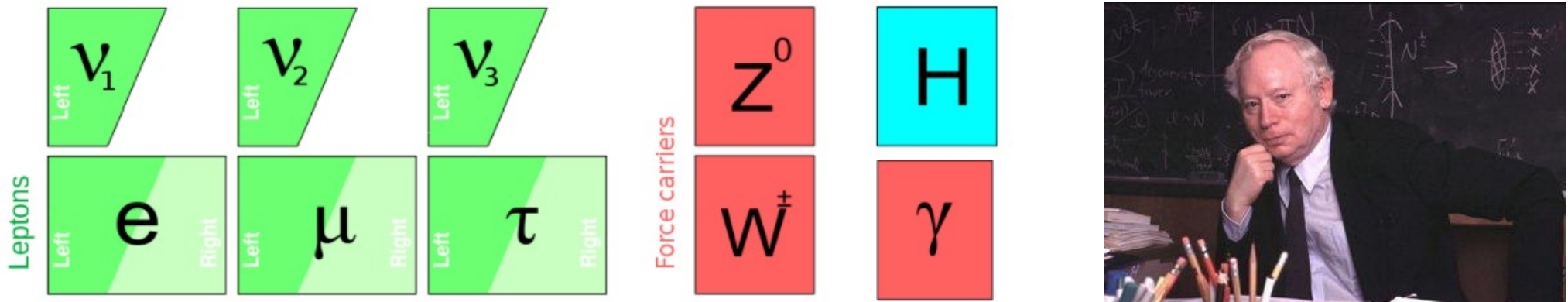
**their original notation:**

$$\begin{aligned} \nu_e &= \nu_1 \cos \delta - \nu_2 \sin \delta, \\ \nu_\mu &= \nu_1 \sin \delta + \nu_2 \cos \delta. \end{aligned}$$

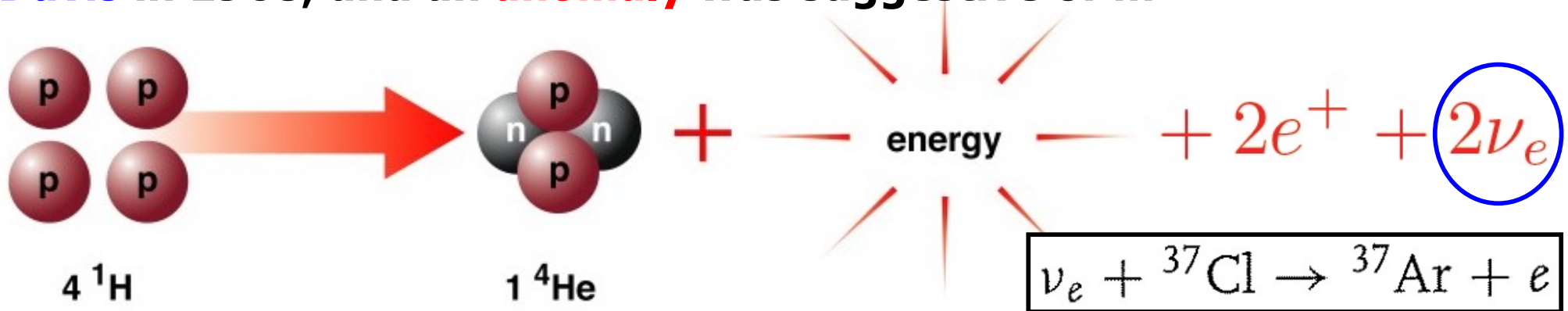
Inspired by **Murray Gell-Mann** + **Maurice Levy** 1960

# Weinberg (1967) and Davis (1968) 13

★ **Steven Weinberg** built a rigid framework for the electroweak theory in 1967 by putting aside **right-handed** neutrinos.

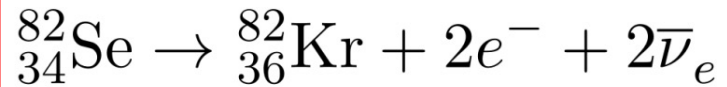


★ **The 2<sup>nd</sup> good news**: the solar neutrinos were observed by **Raymond Davis** in 1968, and an **anomaly** was suggestive of ....

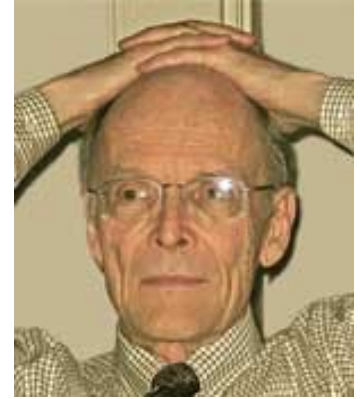


How can we tell what is going on inside the Sun? **Photons** take **1000 years** to work their way out from the center to the surface, and what we see from the earth does not tell us much about the interior.

★ **The 3<sup>rd</sup> good news:** the double-beta decay of Se-82 was first observed by **Michael Moe** et al in 1987.



**Tom Bonner Prize** in nuclear physics 2013



VOLUME 59, NUMBER 18

PHYSICAL REVIEW LETTERS

2 NOVEMBER 1987

## Direct Evidence for Two-Neutrino Double-Beta Decay in ${}^{82}\text{Se}$

S. R. Elliott, A. A. Hahn, and M. K. Moe

*Department of Physics, University of California, Irvine, Irvine, California 92717*

(Received 31 August 1987)

The two-neutrino mode of double-beta decay in  ${}^{82}\text{Se}$  has been observed in a time-projection chamber at a half-life of  $(1.1 \pm 0.3) \times 10^{20}$  yr (68% confidence level). This result from direct counting confirms the earlier geochemical measurements and helps provide a standard by which to test the double-beta-decay matrix elements of nuclear theory. It is the rarest natural decay process ever observed directly in the laboratory.

**The introduction of this paper is very informative:**

- ◆ The **2 $\nu$ 2 $\beta$**  transition was first suggested by **Eugene Wigner** in 1930.
- ◆ **Wendell Furry** remarked that **2 $\nu$ 2 $\beta$**  could never be observed, but **0 $\nu$ 2 $\beta$**  could.
- ◆ Ironically **2 $\nu$ 2 $\beta$**  instead of **0 $\nu$ 2 $\beta$**  was seen. Neutrino could be of **Dirac** nature.

# Super-Kamiokande (1998)

★ The 4<sup>th</sup> good news: neutrinos do oscillate, so they must be massive.

Sun: Yoichiro Suzuki (4/6)

Atmosphere: Takaaki Kajita (5/6)

"Modest" Conclusions

(1) Flux:  $\Phi^{\text{8B}} = 2.44 \pm 0.05 (\text{stat.}) \pm 0.09 (\text{syst.}) \times 10^6 / \text{cm}^2 / \text{s}$   
 (0.368 for BP95, 0.474 for BP98)

(2) No seasonal variations.

(3)  $(\text{D}-\text{N})/(\text{D}+\text{N}) = -0.023 \pm 0.020 (\text{stat.}) \pm 0.014 (\text{syst.})$   
 no difference:

excluded regions  
 extended into "small angle sol"

No core enhancement found.

(4) Day-Night + E-shape analysis.

(a) "No oscillation" is disfavoured  
 @ 1~5% C.L.

(b) L.A. solution is disfavoured  
 @ 1~5% C.L.

(c) V.O. regions are favoured  
 (than MSW regions)  
 @ 95% C.L.

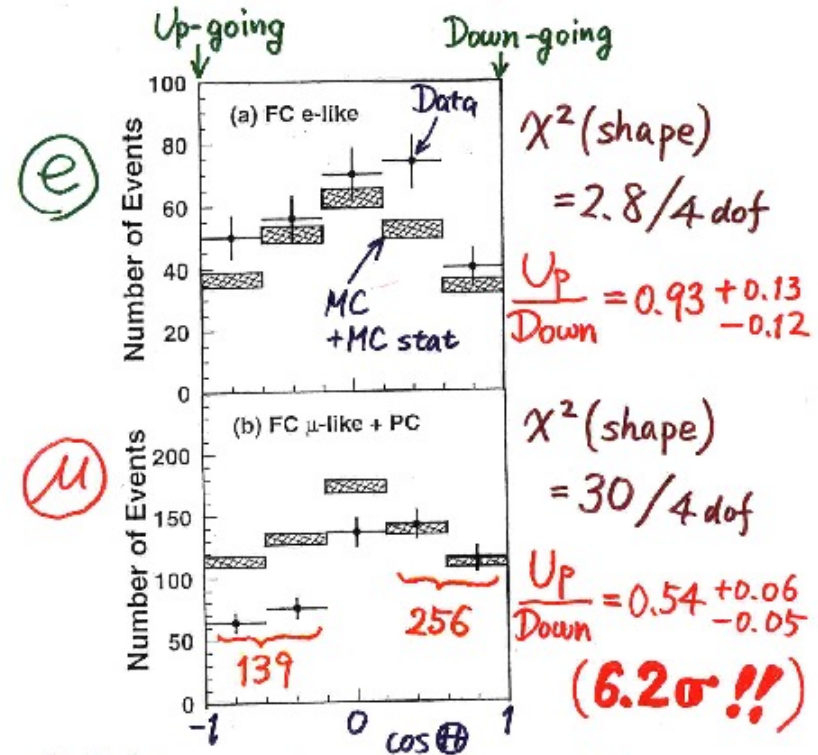
(MSW is OK for 99% C.L.)



**Neutrino98**  
**TAKAYAMA**

**last question:**  
**MAJORANA**

Zenith angle dependence  
 (Multi-GeV)



\* Up/Down syst. error for  $\mu$ -like

Prediction (flux calculation .....  $\leq 1\%$   
 1km rock above SK ..... 1.5%) 1.8%

Data (Energy calib. for  $\uparrow \downarrow$  ..... 0.7%  
 Non  $\nu$  Background .....  $< 2\%$ ) 2.1%



# OUTLINE

- A brief history: ideas and facts oscillated
- Salient properties of Majorana neutrinos



Palermo, 26 marzo 1938 - XVI

Care Carroll,

Spero che ti siano arrivati insieme il telegramma e la lettera. Il nome mi ha rifinito e ritorno domani all'albergo Bologna, viaggiando per una quindicina di ore. Ho poi intenzione di rinunciare all'insegnamento. Non mi prendere per una ragazza che non è che il caso è differente. Sono a tua disposizione per ulteriori dettagli.

aff. E. Majorana

# Weinberg's taste

17

VOLUME 19, NUMBER 21

PHYSICAL REVIEW LETTERS

20 NOVEMBER 1967

## A MODEL OF LEPTONS\*

Steven Weinberg†

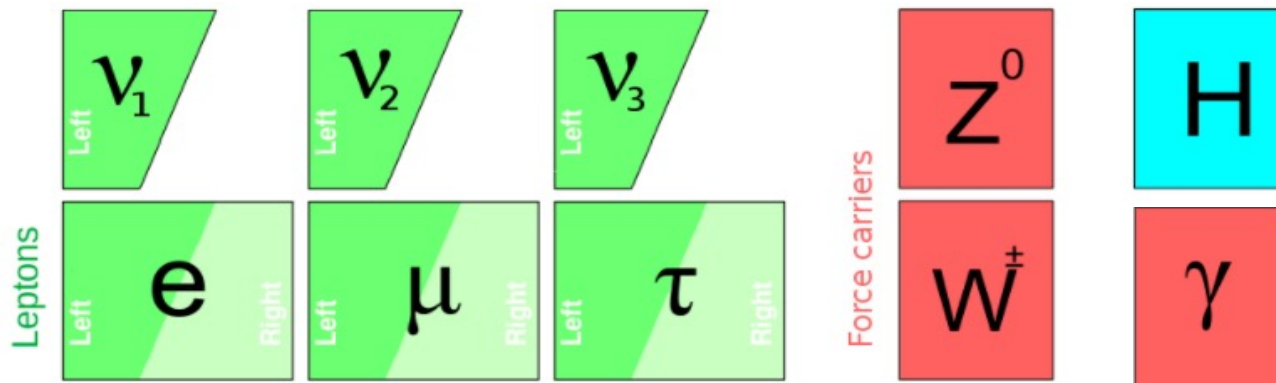
Laboratory for Nuclear Science and Physics Department,  
Massachusetts Institute of Technology, Cambridge, Massachusetts

(Received 17 October 1967)



**Theoretical ingredients:** it's got what it matters ( 五脏俱全 )

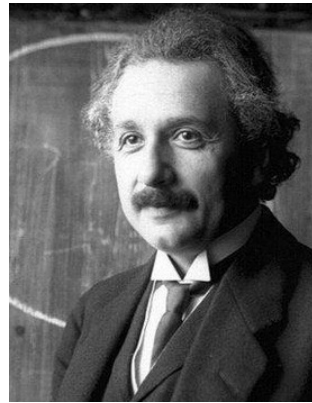
**Particle content:** **no** neutrino mass, **no** quarks, **no** flavor mixing & CPV



**My style** is usually not to propose **specific models** that will lead to specific experimental predictions, but rather to interpret in a broad way what is going on and make very **general remarks**, like with the development of the point of view associated with effective field theory ---- **Weinberg 2021@CERN Courier**

# Go beyond the SM

**Albert Einstein:** Everything should be made as simple as possible, but not simpler!

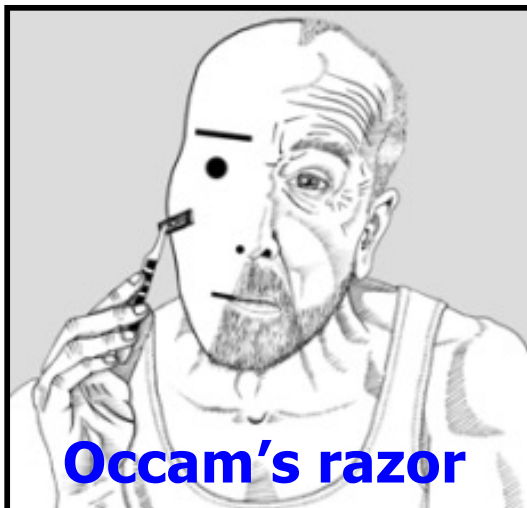


## maximal P violation

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \longleftrightarrow \begin{pmatrix} u_R \\ d_R \end{pmatrix}$$

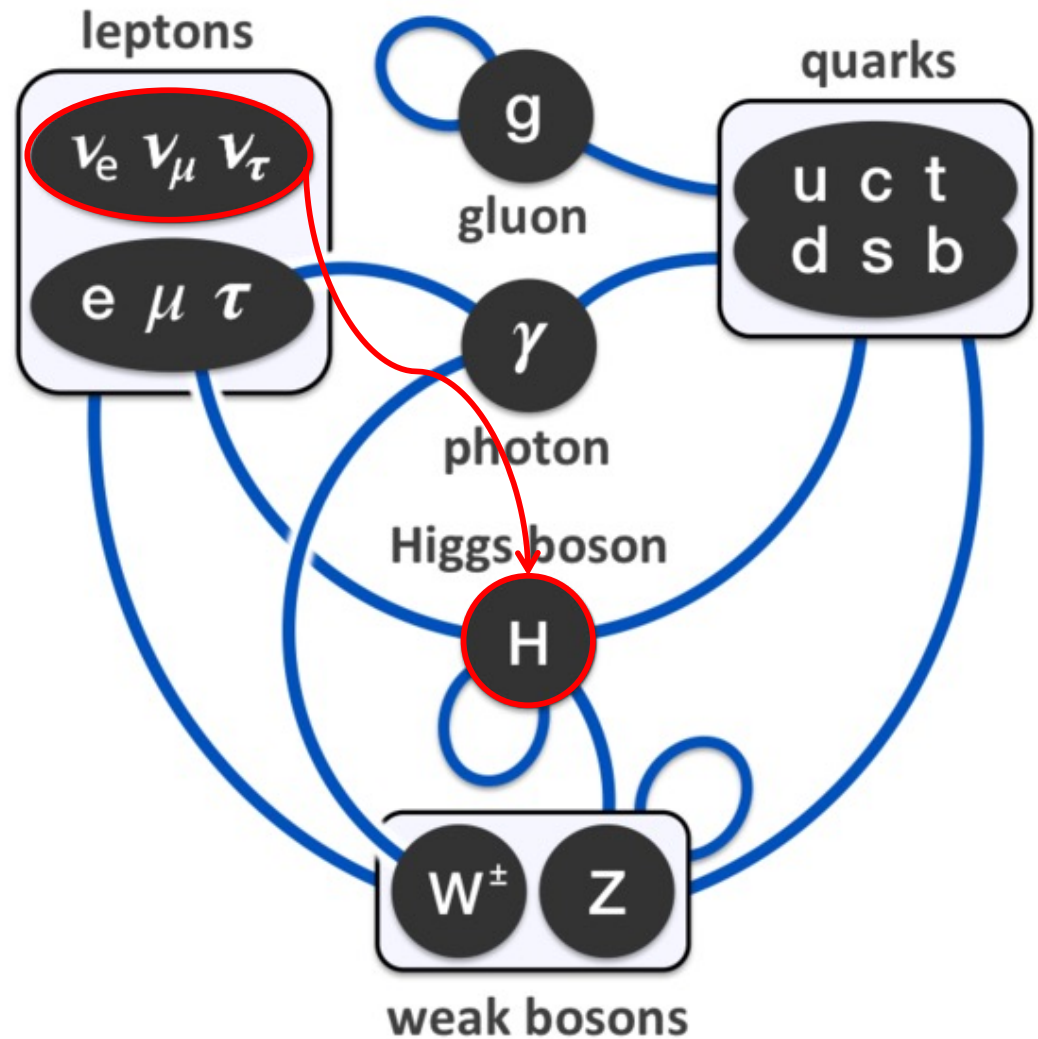
$$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \longleftrightarrow \begin{pmatrix} \nu_{eR} \\ e_R \end{pmatrix}$$

- Theoretically unnatural
- Experimentally natural



cut off **7** physical parameters

★ The **least cost** to generate  $\nu$ -mass is a **Yukawa** coupling



★ Use **charge-conjugated** fields of left-handed  $\nu$ 's? Pay with the scalar fields

# Majorana is more natural

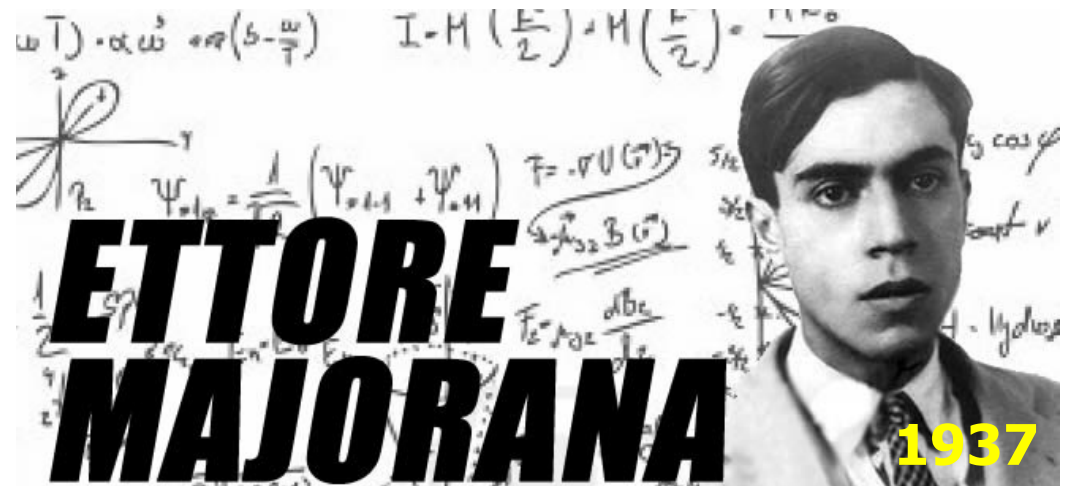
★ The simplest way to extend the SM is to introduce the right-handed neutrino fields and write out a **Dirac** mass term.

**Dirac mass**  $\bar{\ell}_L Y_\nu \tilde{H} N_R \longrightarrow M_D = Y_\nu \langle H \rangle$

**Murray Gell-Mann: everything not forbidden is compulsory!**

**Majorana mass**  $\frac{1}{2} \overline{N_R^c} M_R N_R$  ←

It is lepton-number-violating.



mass state: **antineutrino = neutrino**

In the SM, **L** and **B** are violated by instantons, only **B - L** is conserved.

$$-\mathcal{L}_{\nu+N} = \bar{\nu}_L M_D N_R + \frac{1}{2} \overline{(N_R)^c} M_R N_R + \text{h.c.} = \frac{1}{2} \begin{bmatrix} \bar{\nu}_L & \overline{(N_R)^c} \end{bmatrix} \begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} \begin{bmatrix} (\nu_L)^c \\ N_R \end{bmatrix} + \text{h.c.}$$

**P. Minkowski 1977, T. Yanagida 1979:**  $M_\nu \simeq -M_D M_R^{-1} M_D^T = -\langle H \rangle^2 Y_\nu M_R^{-1} Y_\nu^T$

★ This **seesaw** picture is consistent with the **Weinberg** operator (1979):

$$\mathcal{O}_{\text{Weinberg}} = \frac{\kappa_{\alpha\beta}}{2} \left[ \overline{\ell_{\alpha L}} \tilde{H} \tilde{H}^T \ell_{\beta L}^c \right]$$

★ Diagonalizing the **6×6** neutrino mass matrix by a **6×6 unitary** matrix

$$\begin{pmatrix} U & R \\ S & U' \end{pmatrix}^\dagger \begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} U & R \\ S & U' \end{pmatrix}^* = \begin{pmatrix} D_\nu & 0 \\ 0 & D_N \end{pmatrix}$$

$$D_\nu \equiv \text{Diag}\{m_1, m_2, m_3\}, D_N \equiv \text{Diag}\{M_1, M_2, M_3\}$$

$$\overline{(N_R)^c} M_D^T (\nu_L)^c = [(N_R)^T C M_D^T C \overline{\nu_L}^T]^T = \overline{\nu_L} M_D N_R$$

**Majorana mass states:**

$$\nu' = \begin{bmatrix} \nu'_L \\ (N'_R)^c \end{bmatrix} + \begin{bmatrix} (\nu'_L)^c \\ N'_R \end{bmatrix} = \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ N_1 \\ N_2 \\ N_3 \end{pmatrix}$$

$$(\nu')^c = \nu'$$

**Three** flavor states are linear combinations of **six** mass states (**LFV**):

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}_L = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L + R \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}_L$$

$$UU^\dagger + RR^\dagger = I$$

★ The standard weak charged-current interactions:

$$-\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)}_L \gamma^\mu \left[ U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L + R \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}_L \right] W_\mu^- + \text{h.c.}$$

**global rephasing**

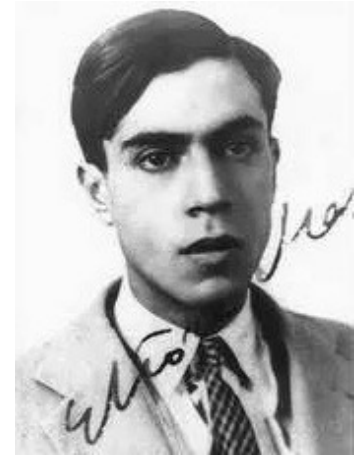
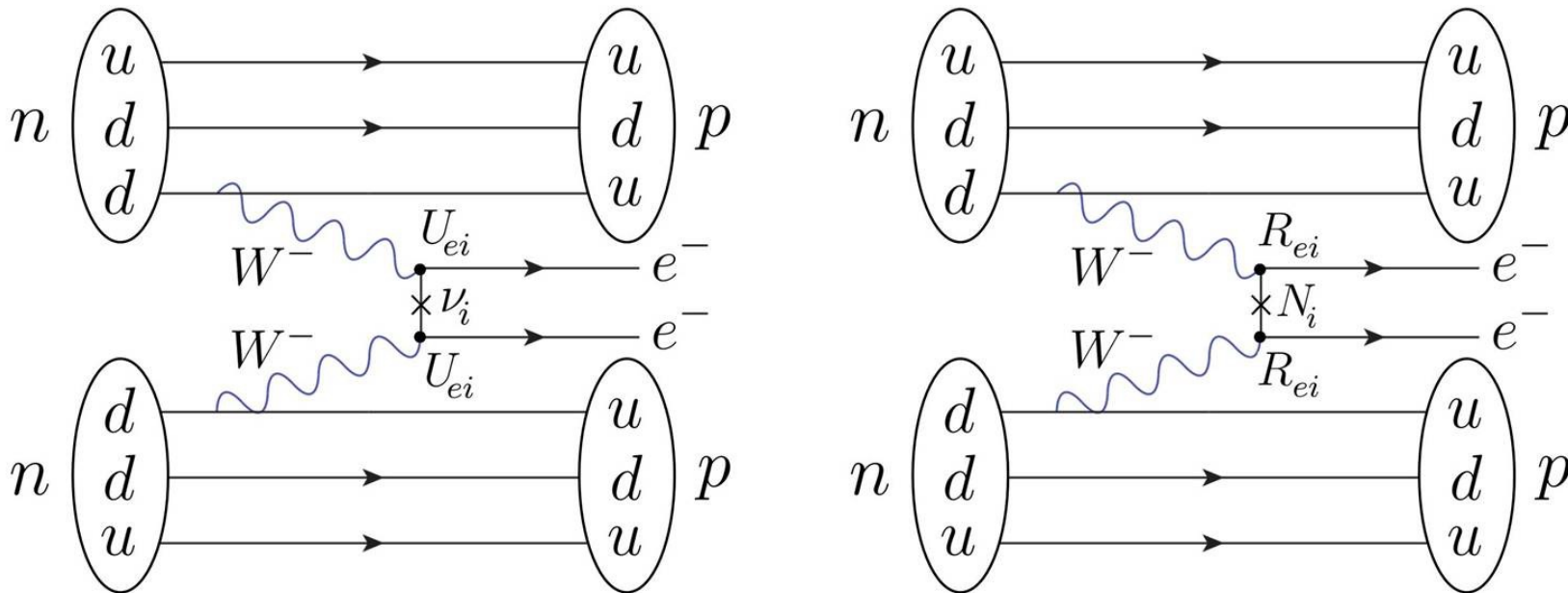
$$\begin{aligned} \ell_L(x) &\rightarrow e^{i\phi} \ell_L(x) \\ \nu'_L(x) &\rightarrow e^{i\phi} \nu'_L(x) \end{aligned}$$

↓ **LNV**

**U** = non-unitary **light** neutrino mixing.  
**R** = small **light-heavy** neutrino mixing.

$$-\mathcal{L}'_\nu = \frac{1}{2} \overline{\nu'_L} D_\nu (\nu'_L)^c + \text{h.c.}$$

★ **Lepton number violation (neutrinoless double-beta decays):**



★ In most cases the contribution of heavy **Majorana** neutrinos to  $0\nu 2\beta$  is negligible in the canonical type-one seesaw. **ZZX**, arXiv:0907.3014; **W. Rodejohann**, 0912.3388.

$$UD_\nu U^T = -RD_N R^T$$

$$\Gamma_{0\nu 2\beta} \propto \left| \sum_{i=1}^3 m_i U_{ei}^2 - M_A^2 \sum_{i=1}^3 \frac{R_{ei}^2}{M_i} \mathcal{F}(A, M_i) \right|^2 = \left| \sum_{i=1}^3 M_i R_{ei}^2 \left[ 1 + \frac{M_A^2}{M_i^2} \mathcal{F}(A, M_i) \right] \right|^2$$

★ There're many different **lepton-number-violating** scenarios for  $0\nu 2\beta$ .

# Bet on the simplest seesaw?

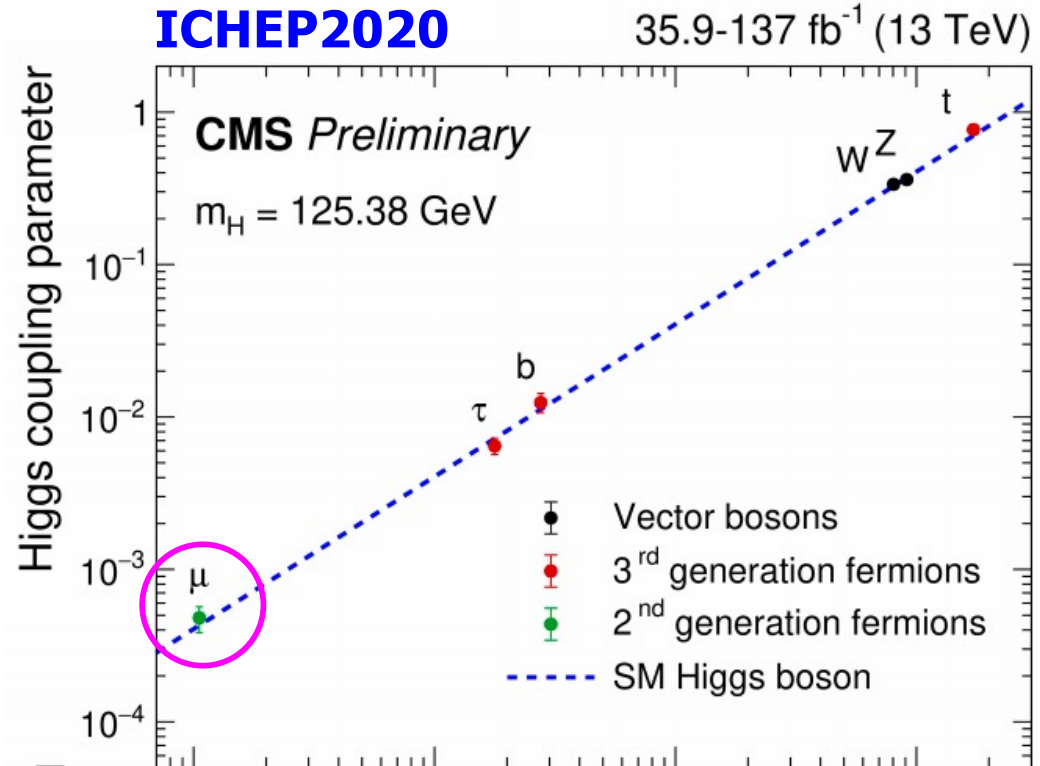
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★ New experimental evidence for **Yukawa** interactions at tree level:

★ So **Steven Weinberg's** model in 2020 seems invalid.

★ There is no good reason for  **$\nu$ 's** not to have a **Yukawa** interaction at tree level.

★ But it is **the poor's** philosophy!  
Many ***new physics*** models ...



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## Models of lepton and quark masses

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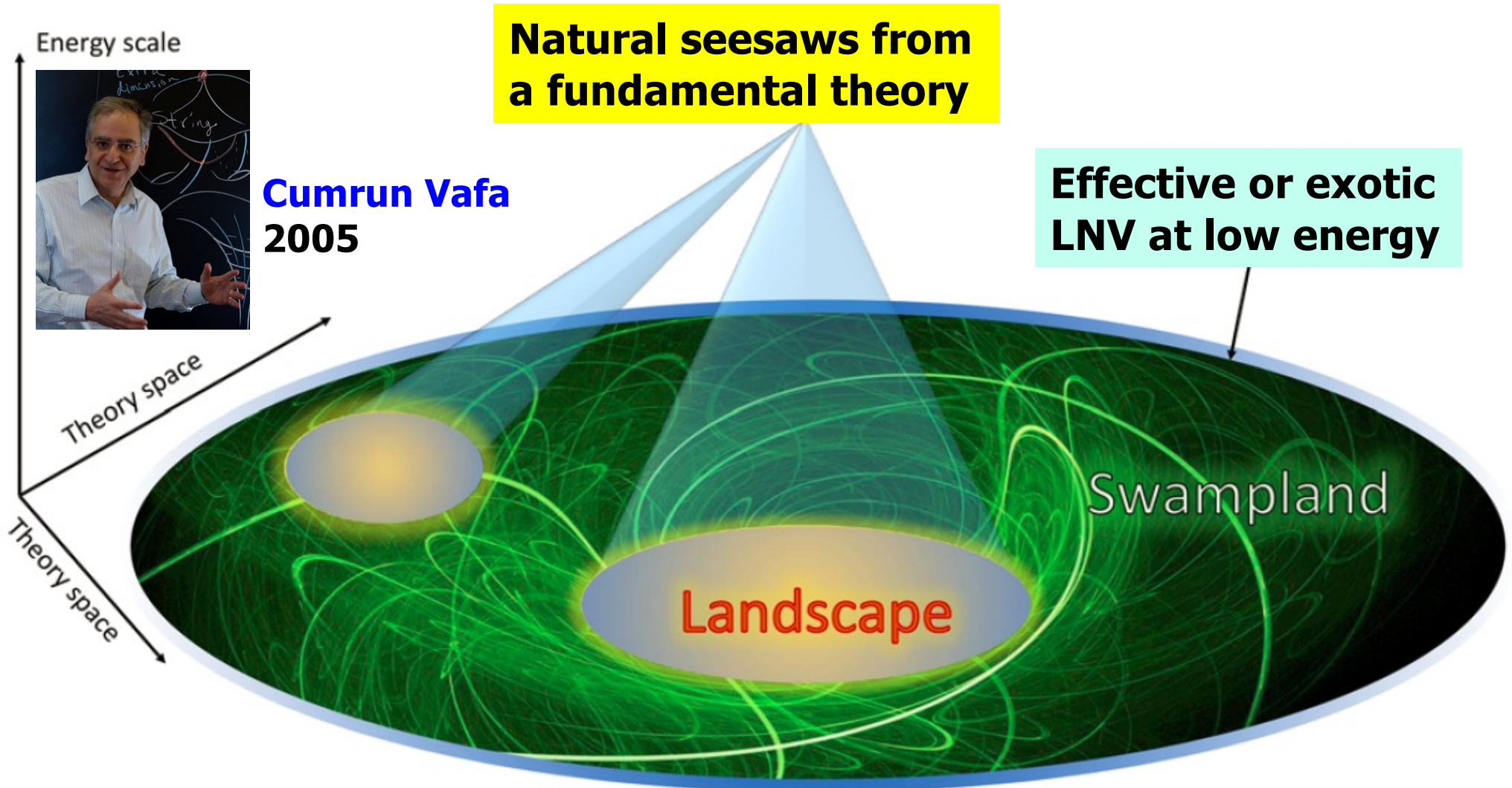
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of **87**

A class of models is considered in which the masses only of the third generation of quarks and leptons arise in the tree approximation, while masses for the second and first generations are produced respectively by one-loop and two-loop radiative corrections. So far, for various reasons, these models are not realistic.

# A $0\nu 2\beta$ landscape or swampland

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- ★ **Landscape:**  $\nu$ -mass models originate from a complete flavor theory.
- ★ **Swampland:** *new physics* which has nothing or little to do with  $\nu$ 's.



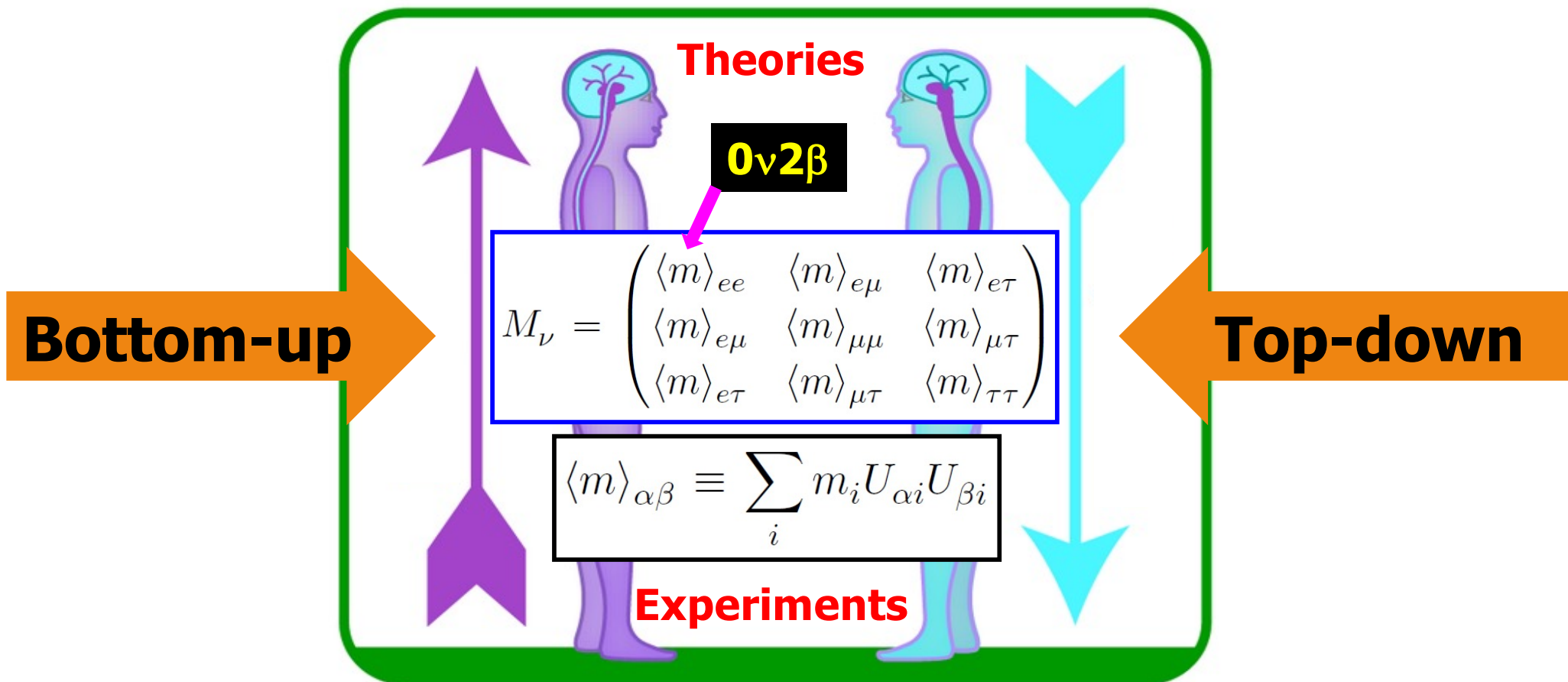
- ★ Imprints of *new physics* models on the low-energy  $0\nu 2\beta$  processes.



# Concluding remarks

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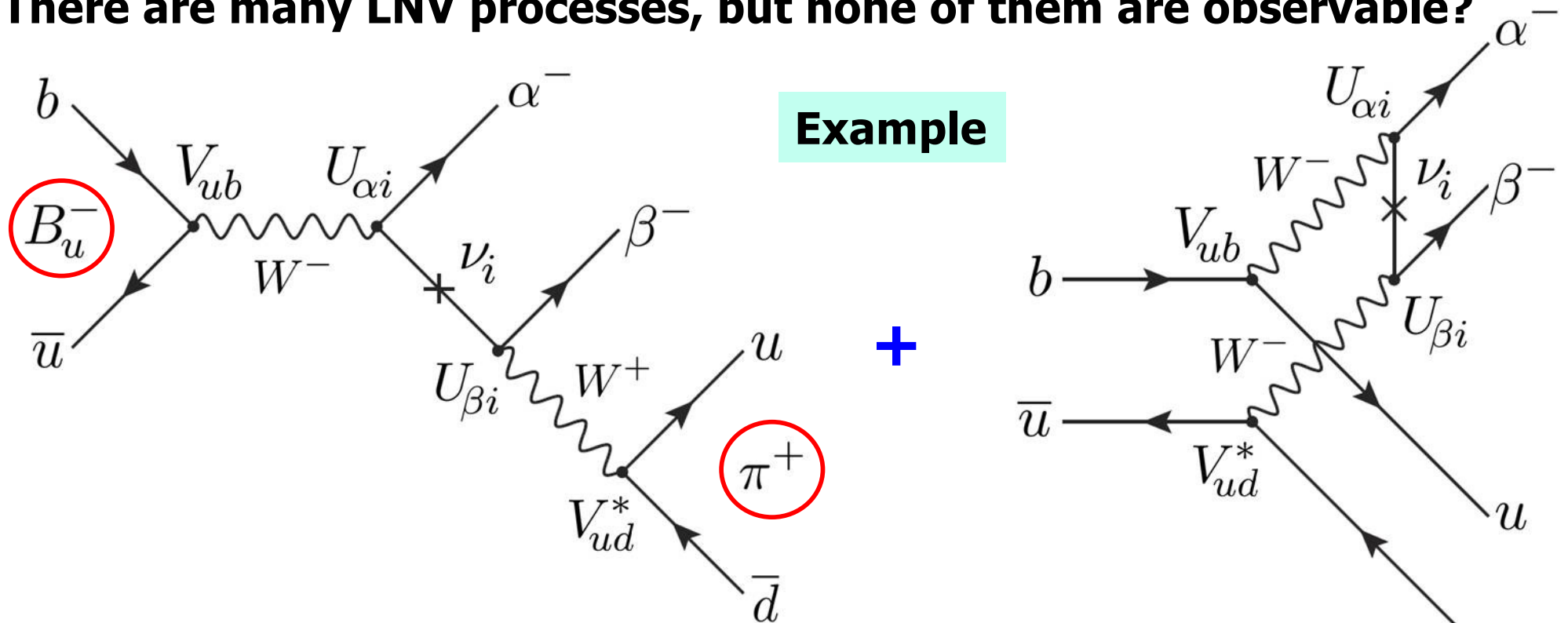
Without information on the **nature of massive neutrinos** (**Majorana** or not) and **all the CP-violating phases**, one will have **no way** to establish a full theory of  $\nu$  masses and flavor mixing. Give  **$0\nu 2\beta$**  a chance!



Within about **10** years, after both the neutrino mass ordering and the **Dirac** CP-violating phase are measured, one has to try **all the possible ways** to determine the absolute mass scale and two **Majorana** phases.

# Hopeless at low energies?

There are many LNV processes, but none of them are observable?



decay rates suppressed

$$\Gamma(B_u^- \rightarrow \pi^+ \alpha^- \beta^-) \propto |\langle m \rangle_{\alpha\beta}|^2 = \left| \sum_{i=1}^3 (m_i U_{\alpha i} U_{\beta i}) \right|^2$$

$$\mathcal{B}(B_u^- \rightarrow \pi^+ e^- e^-) < 2.3 \times 10^{-8} \text{ (CL = 90\%)}$$

$$\mathcal{B}(B_u^- \rightarrow \pi^+ e^- \mu^-) < 1.5 \times 10^{-7} \text{ (CL = 90\%)}$$

$$\mathcal{B}(B_u^- \rightarrow \pi^+ \mu^- \mu^-) < 4.0 \times 10^{-9} \text{ (CL = 95\%)}$$

**History tells us: the fool didn't know it's impossible, so he did it and sometimes succeeded...**

# Majorana's poetry and distance

Wind blow blow  
Water cold cold  
Strongman go go  
Come back no no



风萧萧兮，易水寒，壮士一去兮，不复还