

Ab initio Nuclear Physics on the Lattice

Bing-Nan Lü¹
吕炳楠

Collaborate with
Nuclear Lattice EFT Collaboration

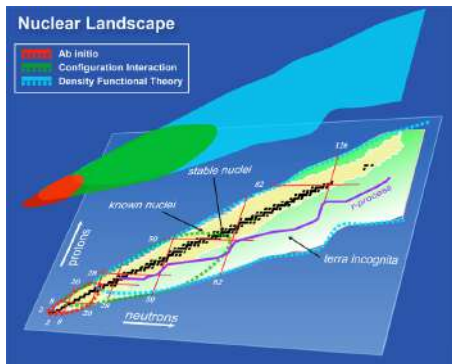
¹Graduate School of China Academy of Engineering Physics, Beijing
中国工程物理研究院研究生院，北京

Neutrinoless double-beta decay Symposium,
Sun Yat-sen University Zhuhai Campus, **May-20-2021**

Introduction: Modern nuclear theories

Road map - Towards a **comprehensive description** of the nucleus

- **Ab initio methods:**
Microscopic interactions
full many-body correlations
- **Configuration-interaction theories:**
Phenomenological interactions
full many-body correlations
- **Density functional theories:**
Phenomenological interactions
mean field approximation



A calculation is said to be **"ab initio"**
if it relies on **basic and established laws of nature**
without additional **assumptions or special models**

Introduction: Why *ab initio* nuclear physics?

- Simulate **nucleus** from **bare nucleus-nucleus force**
- **Not** brute force! Also requires **deep physical insights** and **clever algorithms**
Memory for N **classical** particles $\sim \mathcal{O}(N)$
Memory for N **quantum** particles $\sim \mathcal{O}(\exp(N))$
- **Solutions:** Renormalization group, **Monte Carlo**, Quantum computing...

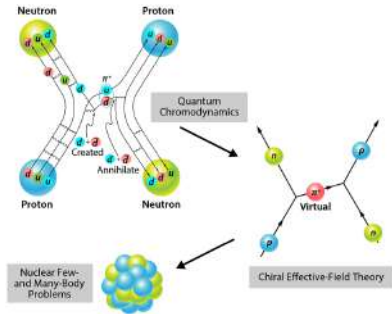


Introduction: Chiral effective field theory

Chiral EFT: The low-energy equivalence of the QCD

Weinberg (1979,1990,1991), Gasser, Leutwyler (1984,1985)
















- **Proton** (uud), **neutron** (udd), **pion** ($u\bar{d}$)
- **Spontaneously broken chiral symmetry:**
 $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$
- Goldstone theorem implies a light pion:
Long-range part of the nuclear force
- Contact terms:
Short-range part of the nuclear force
- **Hard scale:** $\Lambda_\chi \sim 1 \text{ GeV}$: Chiral EFT works for momentum $Q \ll \Lambda_\chi$



Quarks confined
in nucleons and pions

Introduction: Chiral effective field theory

A **systematic expansion** of the nuclear force
Available up to the **Next-to-Next-to-Next-to-Leading Order** (N^3LO)

	Two-nucleon force	Three-nucleon force	Four-nucleon force
LO (Q^0)			
NLO (Q^2)			
N^2LO (Q^3)			
N^3LO (Q^4)			
N^4LO (Q^5)			

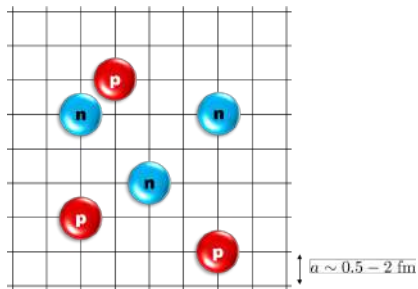
Lattice effective field theory

Quantum many-body problem can be solved on a lattice

Lattice QCD, Hubbard model, Cold atoms...

Lattice EFT = Chiral EFT + Lattice + Monte Carlo

- Discretized **chiral EFT**
- Lattice spacing $a \sim 1$ fm
- Lattice imposes a **momentum cutoff**
 $\Lambda = \pi\hbar/a \sim 600$ MeV
- Exact method, polynomial scaling ($\sim A^2$)



Lattice adapted for nucleus

Lattice EFT: Euclidean time projection

- *g. s.* from **imaginary time projection**:

$$|\Psi_{g.s.}\rangle \propto \lim_{\tau \rightarrow \infty} \exp(-\tau H) |\Psi_A\rangle$$

with $|\Psi_A\rangle$ representing A **free nucleons**.

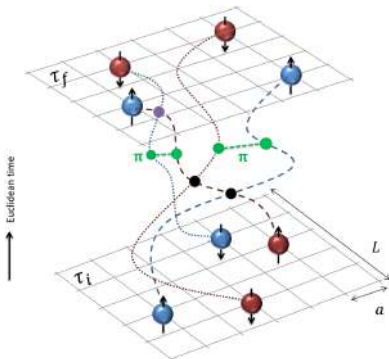
- Expectation value of any operator \mathcal{O} :

$$\langle \mathcal{O} \rangle = \lim_{\tau \rightarrow \infty} \frac{\langle \Psi_A | e^{-\tau H/2} \mathcal{O} e^{-\tau H/2} | \Psi_A \rangle}{\langle \Psi_A | e^{-\tau H} | \Psi_A \rangle}$$

- τ is discretized into time slices:

$$\exp(-\tau H) \simeq \left[: \exp\left(-\frac{\tau}{L_t} H\right) : \right]^{L_t}$$

Complex structures like nucleon clustering emerges naturally.



Lattice EFT: Auxiliary field transformation

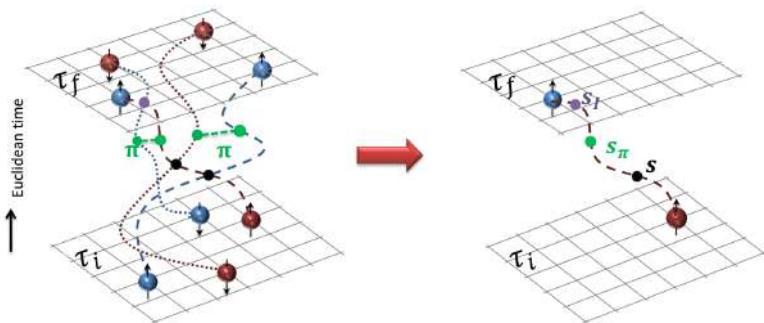
For a two-body δ -function interaction on the lattice

$$H = \sum_{nn'} -\psi_n^\dagger \frac{\nabla_{nn'}^2}{2M} \psi_{n'} + C \sum_n : (\psi_n^\dagger \psi_n)^2 :$$

ψ_n^\dagger (ψ_n) create (annihilate) a particle at mesh point n

Hubbard-Stratonovich transformation:

$$: \exp(-a_t H) := \int \prod_n ds_n : \exp \left[\sum_n \left(-\frac{s_n^2}{2} + a_t \psi_n^\dagger \sum_{n'} \frac{\nabla_{nn'}^2}{2M} \psi_{n'} + \sqrt{-a_t C} s_n \psi_n^\dagger \psi_n \right) \right] :$$

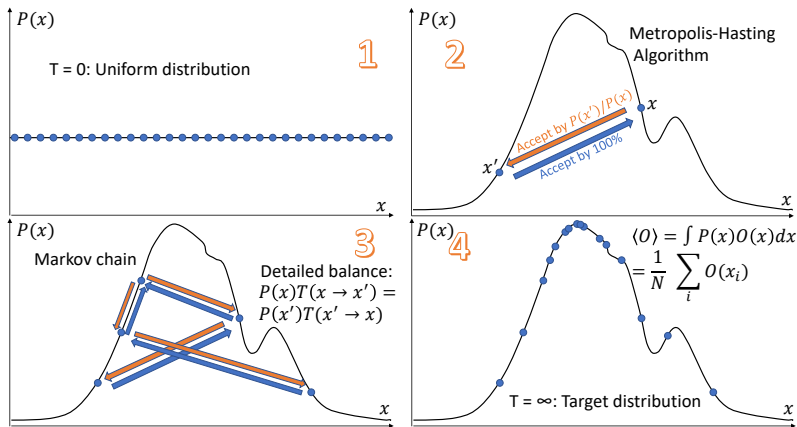


Lattice EFT: Markov Chain Monte Carlo

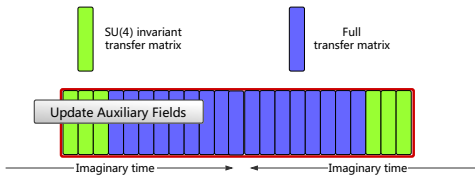
Integrating over a continuous domain

⇒ Arithmetic averaging over an ensemble

Central limit theorem: Statistical error $\propto 1/\sqrt{N}$



Lattice EFT: Imaginary time extrapolation



Samples are generated by **Markov Chain Monte Carlo**

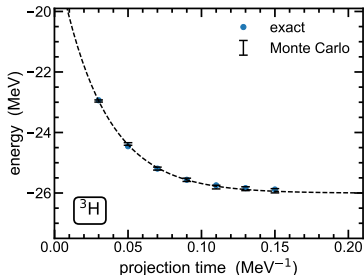
Total energies at large t follow

$$E_A(t) = E_A(\infty) + c \exp[-\Delta E t].$$

For any inserted operator \mathcal{O} ,

$$\mathcal{O}_A(\tau) = \mathcal{O}_A(\infty) + c' \exp[-\Delta E \tau/2],$$

c , c' , ΔE are fitting parameters.



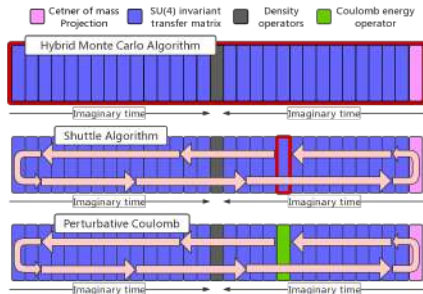
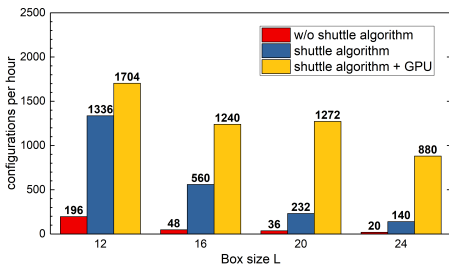
Advanced algorithm and programming paradigm

All $L_t \times L^3$ auxiliary fields s_{n,n_t} need to be updated. Two algorithms:

- Update all fields once every iteration: **Hybrid Monte Carlo**
- Update a single time slice every iteration: **Shuttle Algorithm**

[B.L., et. al., PLB 797, 134863 \(2019\)](#)

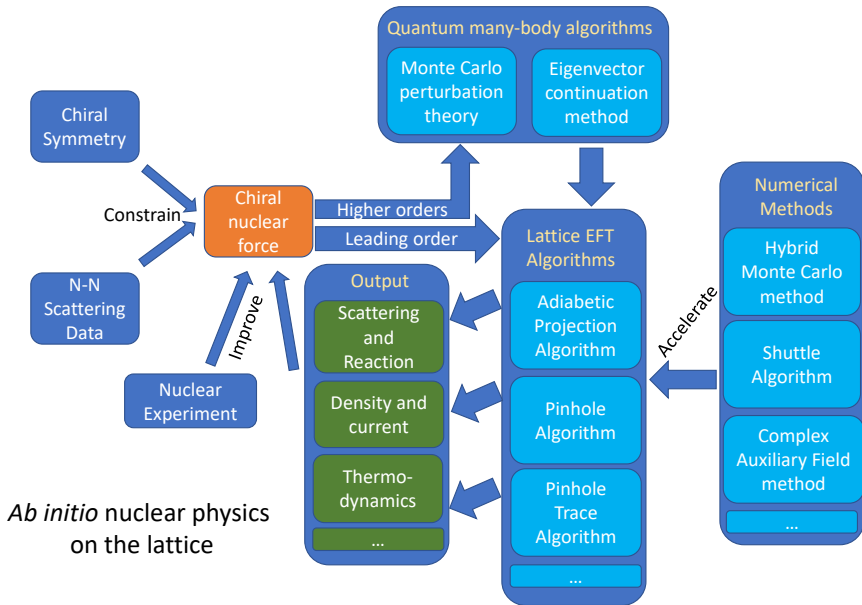
SA 5~10 times faster than HMC



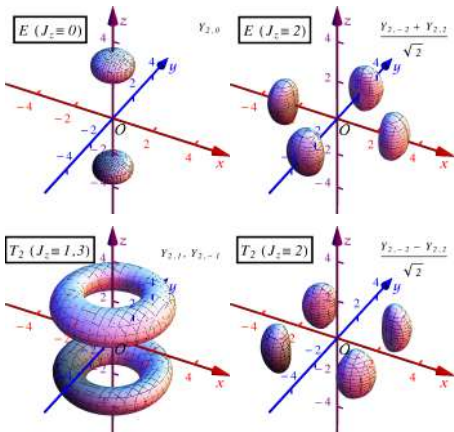
- Can be implemented for **GPU**
- **Algorithm & Hardware** combined give a **40~50 times** speed-up

Large lattices are accessible

Lattice EFT: A unified framework for *ab initio* calculations



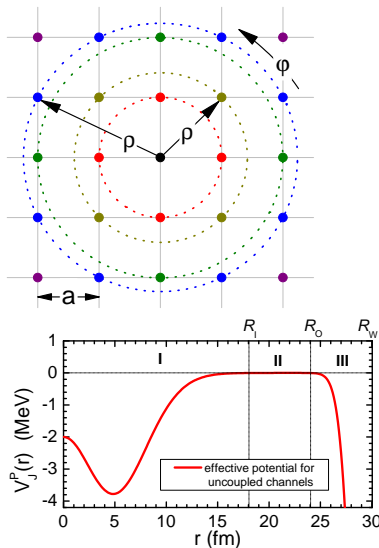
Eliminating the lattice artifacts



Most lattice artifacts cancel out
when averaging over lattice orientations

- In **real world**, rotational $SO(3)$ symmetry is a strict symmetry.
- In a **lattice world**, due to **lattice artifacts**, some directions are **more preferred** than others.
- To solve this issue, we propose:
 - Improved kinetic energy
B.L. et al., [Phys. Rev. D 90, 034507 \(2014\)](#)
 - Weighted average for energy
B.L. et al., [Phys. Rev. D 90, 034507 \(2014\)](#)
 - W/ ave. for tensor operators
B.L. et al., [Phys. Rev. D 92, 014506 \(2015\)](#)

Techniques for solving the lattice scattering problem



- **Angular momentum projection:**
Expand wave functions on states with definite angular momentum,

$$|\rho\rangle_{L,L_z} = \sum_r Y_{L,L_z}(\hat{r}) \delta_{\rho,|r|} |r\rangle$$

- **Complex auxiliary potential:**
Twist radial wave functions with a potential at very large R .

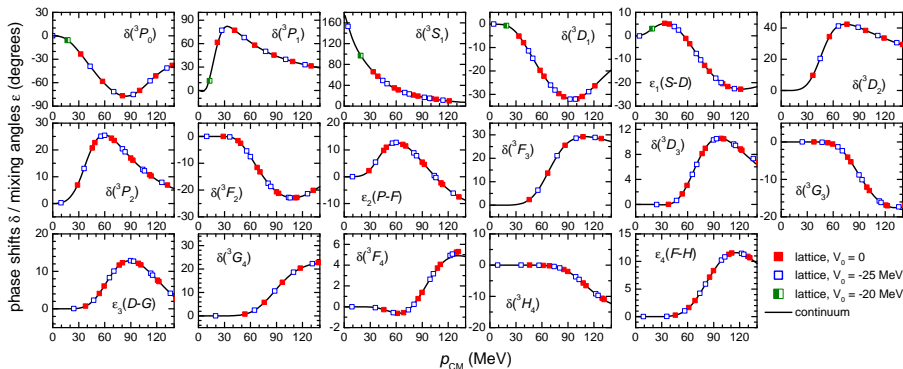
In asymptotic region ($r \rightarrow \infty$):

$$\psi_k \approx Ah_{J,k}^+ - Bh_{J,k}^-$$



B.L. et al., [Phys. Lett. B 760 \(2016\) 309](#)

Complex auxiliary field method: benchmark



- Phase shifts and mixing angles for a tensor potential (toy model).
- Continuum results by solving the Lippmann-Schwinger equation.

B.L. et al., [Phys. Lett. B 760 \(2016\) 309](#)

Precision comparable with exact solutions

Chiral nuclear force up to $N^3\text{LO}$: lattice interactions

- We use a **seperable form** $V \cong O^\dagger O$ for **short-range interactions**:

$$O_{S,L,J,J_z,I,I_z}^{2M,S_{NL}}(n) = \sum_{S_z,L_z} \langle SS_z, LL_z | JJ_z \rangle \left[\psi(n) \nabla_{1/2}^{2M} R_{L,L_z}^*(\nabla) \psi(n) \right]_{S,S_z,I,I_z}^{S_{NL}}$$

$$R_{L,L_z}(r) = \sqrt{\frac{4\pi}{2L+1}} r^L Y_{L,L_z}(\theta, \phi)$$

The indices in O and O^\dagger are all contracted to form scalars.

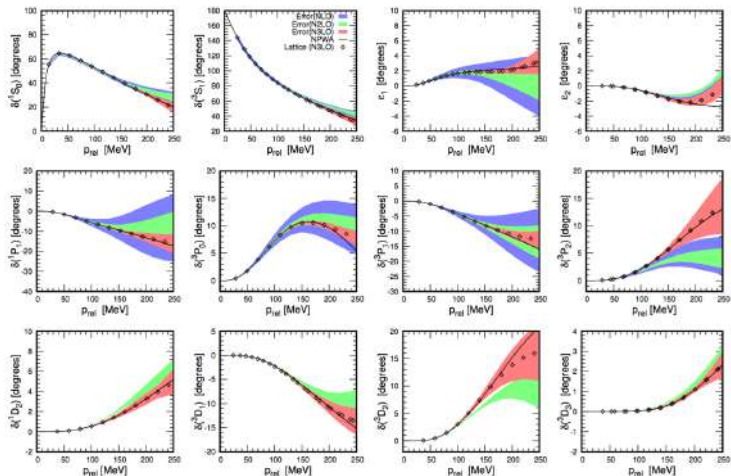
- **Long-range interactions** (1-pion, 2-pion) implemented using FFT:

$$V_{\text{OPE}} = -\frac{g_A^2}{8F_\pi^2} \sum_{n',n,S',S,I} : \rho_{S',I}(n') f_{S'S}(n'-n) \rho_{S,I}(n) :$$

$$f_{S'S}(n'-n) = \frac{1}{L^3} \sum_q \frac{q_{S'} q_S \exp[-iq \cdot (n' - n) - b_\pi(q^2 + M_\pi^2)]}{q^2 + M_\pi^2}$$

Ning Li, Elhatisari, Epelbaum, Lee, B.L., Meissner, [PRC 98, 044002 \(2018\)](#)

Chiral nuclear force up to N³LO: fit on the lattice

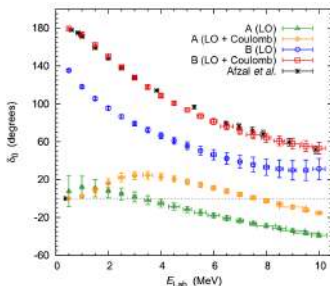
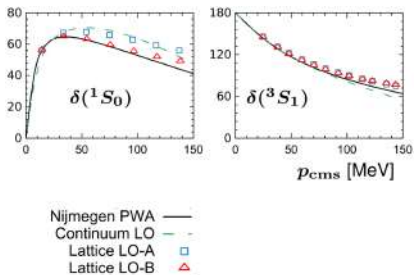


fit to N²LO: Alarcon, Du, Klein, Lahde, Lee, Ning Li, B.L., Luu, Meissner, [EPJA 53, 83 \(2017\)](#)

fit to N³LO: Ning Li, Elhatisari, Epelbaum, Lee, B.L., Meissner, [PRC 98, 044002 \(2018\)](#)

Effects of locality: NN and α - α scattering

- Both interaction A and B give the **same N-N phase shift**.
- A: **Non-local** B: **Local + non-local**



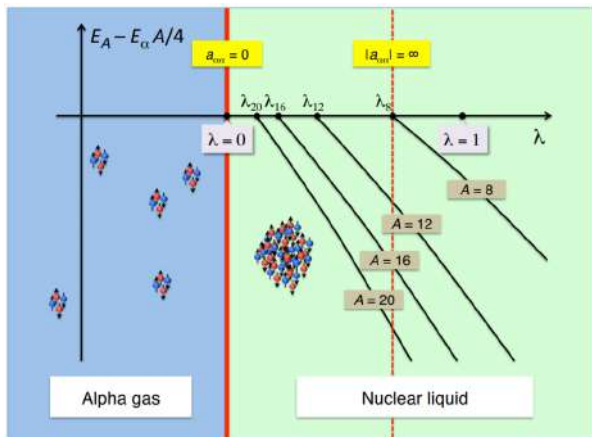
- **Locality** can only be probed by **many-body calculations**.
- What is the consequence for **finite nuclei**?

Elhatisari, Ning Li, Rokash, Alarcon, Du, Klein, B.L., Meißner, Epelbaum,

Krebs, Lähde, Lee, Rupak, [PRL 117 \(2016\) 132501](#)

Effects of locality: Zero-temperature phase diagram

$a_{\alpha\alpha}$: α - α scattering length. $E_A - E_\alpha A/4$: α -binding energy.
 $\lambda = 0$: purely non-local $\lambda = 1$: reality



- $\lambda_8 = 0.7(1)$
- $\lambda_{12} = 0.3(1)$
- $\lambda_{16} = 0.2(1)$
- $\lambda_{20} = 0.2(1)$
- $\lambda_\infty = 0.0(1)$

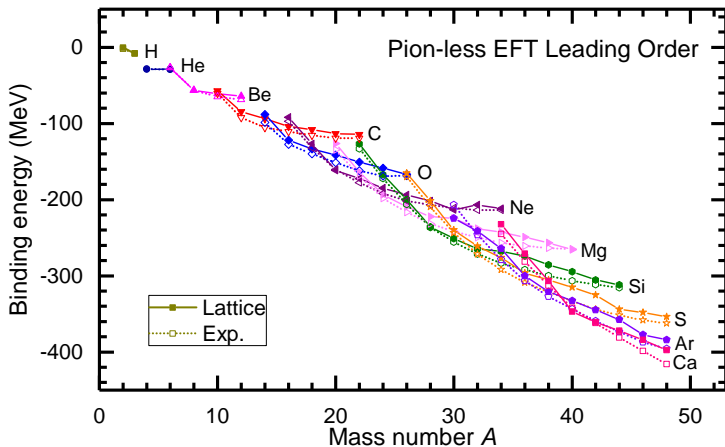
Elhatisari, Ning Li, Rokash, Alarcon, Du, Klein, B.L., Meißner, Epelbaum,

Krebs, Lähde, Lee, Rupak, [PRL 117 \(2016\) 132501](https://arxiv.org/abs/1605.03250)

Essential elements for nuclear binding

How many free parameters are essential for a proper nuclear force?

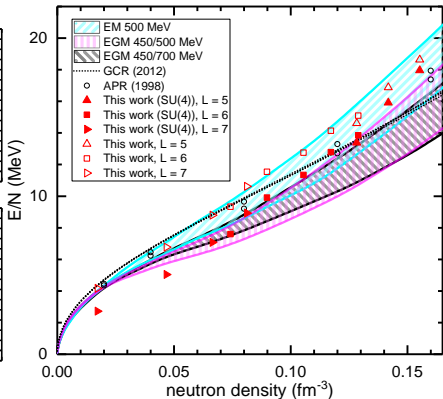
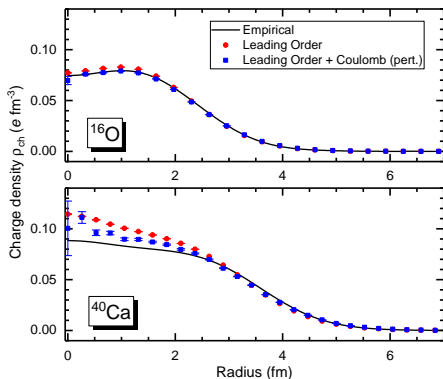
Answer: 4, Strength, Range, Three-body, Locality



B.L., Ning Li, Elhatisari, Lee, Epelbaum, Meißner, [PLB 797, 134863 \(2019\)](#)

Essential elements for nuclear binding

Charge density and neutron matter equation of state are important in element creation, neutron star merger, etc.



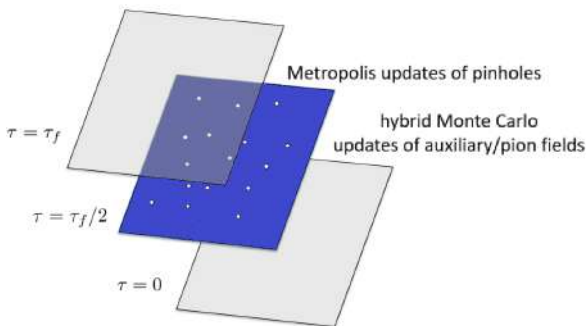
B.L., Ning Li, Elhatisari, Lee, Epelbaum, Meißner, [PLB 797, 134863 \(2019\)](#)

Pinhole algorithm: Sampling nucleon densities

The expectation of operator O can be expressed as a **path integral**:

$$\langle O \rangle = \lim_{\tau \rightarrow \infty} \frac{\sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle \Psi_A | e^{-\frac{\tau}{2} H(s, \pi)} \rho_A(n_1, \dots, n_A) e^{-\frac{\tau}{2} H(s, \pi)} | \Psi_A \rangle O(n_1, \dots, n_A)}{\sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle \Psi_A | e^{-\frac{\tau}{2} H(s, \pi)} \rho_A(n_1, \dots, n_A) e^{-\frac{\tau}{2} H(s, \pi)} | \Psi_A \rangle},$$

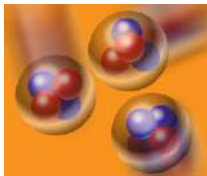
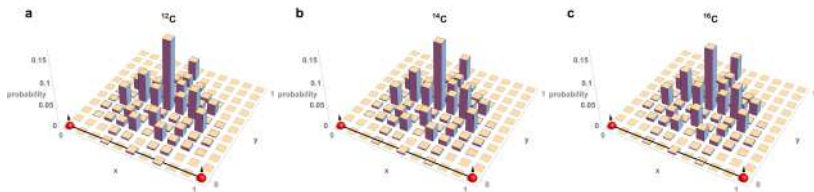
Density operator ρ_A can be sampled with **Metropolis algorithm**



Elhatisari, Epelbaum, Krebs, Lähde, Lee, Ning Li, B.L., Meißner, Rupak, [PRL 119, 222505 \(2017\)](#)

Pinhole algorithm: α -cluster geometry in carbon isotopes

Positions of 3rd α -cluster relative to the other two in $^{12,14,16}\text{C}$

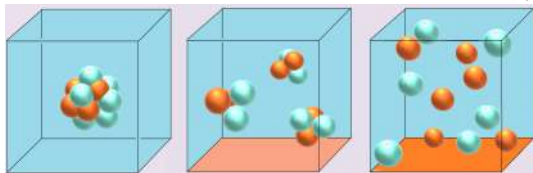
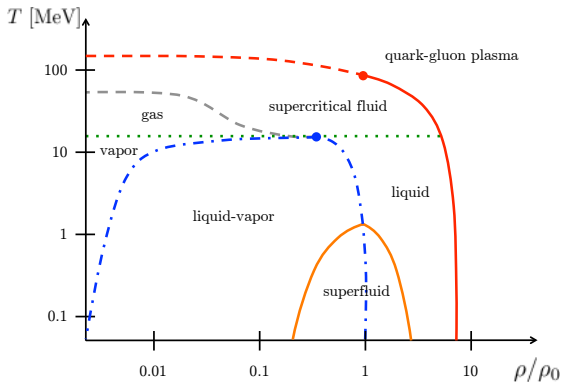


- **Hoyle state**: Triple- α resonance, essential for creating ^{12}C in stars (Hoyle, 1954). *Fine-tuning for life?* [Epelbaum et al., PRL 106, 192501 \(2011\)](#)
- **Question**: Are there **Hoyle-like states** in ^{14}C and ^{16}C ? Consequence for **element creation**?

Visualize clustering in *ab initio* calculation

Elhatisari, Epelbaum, Krebs, Lähde, Lee, Ning Li, B.L., Meißner, Rupak, [PRL 119, 222505 \(2017\)](#)

Pinhole trace algorithm: *Ab initio* nuclear thermodynamics



A novel algorithm for simulating **Finite-temperature nuclear matter** from first principles

“*Ab initio*” means **phase transition** and **clustering** can emerge without model assumptions

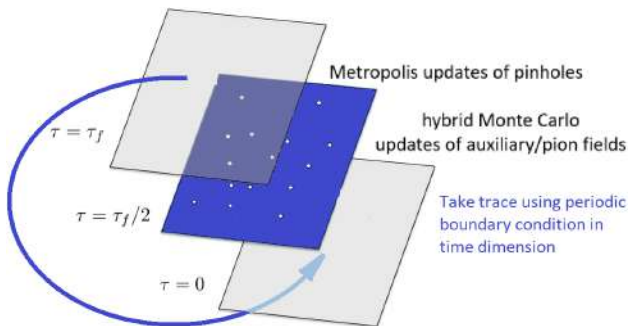
B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, Epelbaum, Meißner, [PRL 125, 192502 \(2020\)](#)

Pinhole trace algorithm

The **pinhole states** span the whole **A -body Hilbert space**.

Canonical partition function can be expressed using **pinholes**:

$$Z_A = \text{Tr}_A[\exp(-\beta H)] = \sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle n_1, \dots, n_A | \exp[-\beta H(s, \pi)] | n_1, \dots, n_A \rangle$$

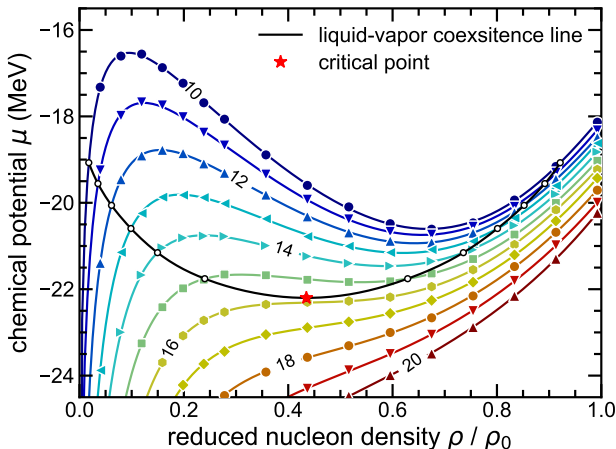


B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

Finite nuclear systems: Liquid-vapor coexistence line

Widom insertion method: Measure μ by inserting test particles

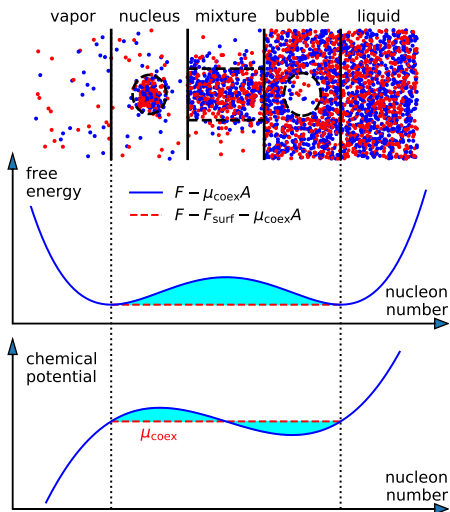
B. Widom, *J. Chem. Phys.* 39, 2808 (1963)



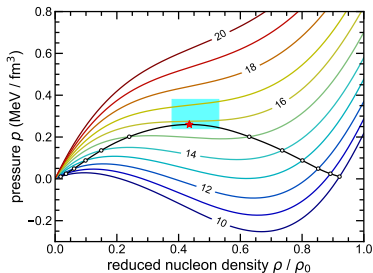
B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, *PRL* 125, 192502 (2020)

Finite nuclear systems: Surface effect

- The **backbending** in μ - ρ curves comes from the **surface effects**.
- **Thermodynamic limit** ($A \rightarrow \infty$, $N \rightarrow \infty$), $\mu_{\text{liquid}} = \mu_{\text{vapor}} = \text{const.}$ at coexistence;
- **Finite systems**: extra contribution of the **surface** to free energy F ;
- **Surface area** maximized at intermediate densities;
- $\mu = \partial F / \partial A$ exhibits a **backbending** at coexistence.



Critical point: Compare with experiment



T_c , P_c and ρ_c of neutral symmetric nuclear matter

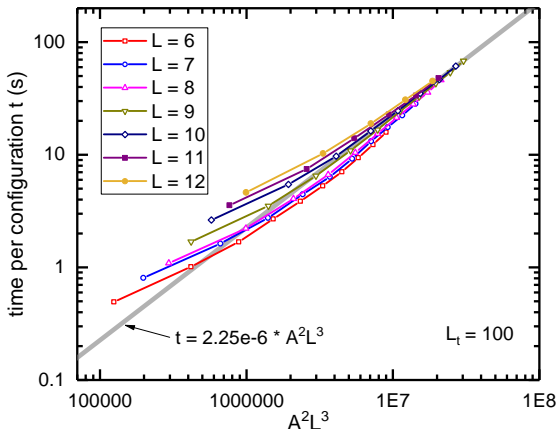
Experimental values and mean field results taken from

[Elliott, Lake, Moretto, Phair, PRC 87, 054622 \(2013\)](#)

	This work	Exp.	RMF(NLSH)	RMF(NL3)
T_c (MeV)	15.80(3)	17.9(4)	15.96	14.64
P_c (MeV/fm ³)	0.260(3)	0.31(7)	0.26	0.2020
ρ_c (fm ⁻³)	0.089(1)	0.06(1)	0.0526	0.0463
ρ_0 (fm ⁻³)	0.205(0)	0.132		
ρ_c/ρ_0	0.43	0.45		

Performance of PT algorithm: Time complexity

Time complexity $\sim \mathcal{O}(A^2L^3)$, Grand canonical ensemble $\sim \mathcal{O}(L^6)$

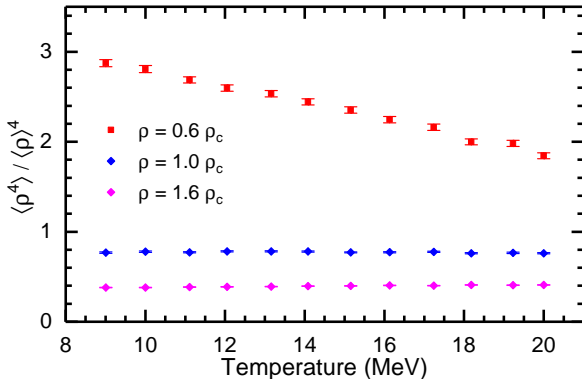


New algorithm can be thousands of times faster for $A \ll L$

B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

Clustering in hot nuclear matter

Ratio $\langle \rho^4 \rangle / \langle \rho \rangle^4$ signifies the clustering correlation



B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

Summary and Perspective

- Lattice Effective Field Theory is a unified framework for nuclear *ab initio* calculations.
- Based on Markov Chain Monte Carlo method.
 - Challenges: reduce statistical errors.
- Unlimited configuration space.
 - Able to describe phase transition, nuclear fragmentation, clustering,...
- TODO list: refined $N^3\text{LO}$ chiral interaction, advanced lattice algorithms, numerical extrapolations, ...
- Future projects: $0\nu\beta\beta$ calculations, independent of other *ab initio* methods, reduce systematic errors. Possible connection with Lattice QCD.

A close-up photograph of a Go board (Weiqi board) with a grid of lines. Several black and white Go stones are scattered across the board. The text "谢谢各位老师!" is overlaid in the center in a large, bold, black font.

谢谢各位老师!